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GHAJAR EXHIBIT 9

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duplicative in whole or in part of RFAs 8-9, 11, and 13-14. Subject to and without waiving the

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REQUEST FOR ADMISSION NO. 11:

Admit that YOU are unaware of consent ever having been given (either by YOU or somebody so authorized on YOUR behalf) to use of any of YOUR ASSERTED WORKS as training data for artificial intelligence.

AMENDED RESPONSE TO REQUEST NO. 11:

foregoing objections, Plaintiff responds as follows: admit.

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and /or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff objects to this Request as unintelligible and vague as to the term "artificial intelligence" and will construe that term to mean generative artificial intelligence. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-10 and 13-14. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 12:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models (see, e.g., [March 7, 2024 denial of RFA No. 1), YOU are unaware of any lost sales due to the infringement alleged in the COMPLAINT.

AMENDED RESPONSE TO REQUEST NO. 12:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and /or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff objects to the term "lost sales" as vague and ambiguous. Plaintiff further objects to this Request because it is hypothetical and not tied to the facts of the case. See, e.g., Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the

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facts of the case, courts do not permit "hypothetical" questions within requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 15. Further, this Request seeks premature expert opinion. Plaintiffs are still investigating their damages theory. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 13:

Admit that YOU have no documentary evidence that any PERSON has offered any consideration to YOU for the use of YOUR ASSERTED WORKS to train large language models.

AMENDED RESPONSE TO REQUEST NO. 13:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff also objects to the term "documentary evidence" as vague and overbroad because it is not limited to the specific claims and defenses raised in this dispute. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-11, and 14. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 14:

Admit that YOU have no documentary evidence that any PERSON has actually compensated YOU any consideration for use of YOUR ASSERTED WORKS to train large language models.

AMENDED RESPONSE TO REQUEST NO. 14:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff also objects to the term "documentary evidence" as vague and overbroad because it is not limited to the

specific claims and defenses raised in this dispute. Plaintiff further objects to this Request as

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objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 15:

Admit that, other than YOUR contention that LLM developers such as Meta should have

duplicative in whole or in part of RFAs 8-11, and 13. Subject to and without waiving the foregoing

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any documentary evidence that YOU have lost sales of any ASSERTED WORKS due to the infringement alleged in the COMPLAINT.

AMENDED RESPONSE TO REQUEST NO. 15:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff also objects to the term "documentary evidence" as being vague and overbroad because it is not limited to the specific claims and defenses raised in this case. Plaintiff further objects to the term "lost sales" as vague and ambiguous. Plaintiff also objects to this Request because it is hypothetical and not tied to the facts of the case. See, e.g., *Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission.""); *Fulhorst v. Un. Techs. Auto., Inc.*, 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 12.

Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 16:

Admit that book sales for YOUR ASSERTED WORKS (including through any physical bookstore, on-line bookseller, or any other type of book wholesaler or retailer) have not decreased due to the alleged use of YOUR ASSERTED WORKS to train large language models.

1	Dated: September 6, 2024	By: /s/ Bryan L. Clobes
2		Bryan L. Clobes
3		Joseph R. Saveri (State Bar No. 130064)
		Cadio Zirpoli (State Bar No. 179108) Christopher K.L. Young (State Bar No. 318371)
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15		Bryan L. Clobes (pro hac vice)
16		Alexander J. Sweatman (<i>pro hac vice</i>) Mohammed A. Rathur (<i>pro hac vice anticipated</i>)
17		CAFFERTY CLOBES MERIWETHER
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20		asweatman@caffertyclobes.com mrathur@caffertyclobes.com
21		mathan@cancityclobes.com
22		Daniel J. Muller (State Bar No. 193396)
		VENTURA HERSEY & MULLER, LLP
23		1506 Hamilton Avenue San Jose, California 95125
24		Telephone: (408) 512-3022
25		Facsimile: (408) 512-3023 Email: dmuller@venturahersey.com
26		·
		Counsel for Individual and Representative Plaintiffs and the Proposed Class
27		•

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PLAINTIFF TA-NEHISI COATES'S SUPPLEMENTAL RESPONSES TO DEFENDANT META PLATFORMS, INC.'S SECOND SET OF REQUESTS FOR ADMISSION

PROPOUNDING PARTIES:

Defendant Meta Platforms, Inc.

RESPONDING PARTIES:

Plaintiff Ta-Nehisi Coates

SET NUMBER:

Two (2)

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Plaintiff Ta-Nehisi Coates ("Plaintiff") hereby amends his responses to Defendant Meta Platforms, Inc.'s ("Defendant" or "Meta") Second Set of Requests for Admissions (the "Requests" or "RFAs").

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GENERAL OBJECTIONS

- 1. Plaintiff generally objects to Defendant's definitions and instructions to the extent they purport to require Plaintiff to respond in any way beyond what is required by the Federal and local rules.
- 2. Plaintiff objects to the Requests to the extent they seek information or materials that are protected from disclosure by attorney-client privilege, the work product doctrine, expert disclosure rules, or other applicable privileges and protections, including communications with Plaintiff's attorneys regarding the Action.

Discovery in this matter is ongoing and Plaintiff reserves the right to amend, modify, or supplement these responses with subsequently discovered responsive information and to introduce and rely upon any such subsequently discovered information in this litigation.

SUPPLEMENTAL OBJECTIONS AND RESPONSES TO INDIVIDUAL REQUESTS REQUEST FOR ADMISSION NO. 18:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any specific licensing opportunity that YOU lost due to the infringement alleged in the COMPLAINT.

RESPONSE TO REQUEST NO. 18:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the

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terms "You" and "Your" as referring to Plaintiff Ta-Nehisi Coates. Plaintiff objects to this Request as

irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff

Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. III. Dec. 7, 2016) ("Since requests to

admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within

requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17,

1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use

of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for

compensate, let alone other LLM developers. Subject to and without waiving the foregoing objections,

Plaintiff responds that after a reasonable inquiry, the information known or that can be readily obtained

Plaintiff to know what his licensing opportunities would have been but for Meta's failure to

objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g.,

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SUPPLEMENTAL RESPONSE TO REQUEST NO. 18:

by him is insufficient to enable him to admit or deny.

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff Ta-Nehisi Coates. Plaintiff objects to this Request as irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff objects to this Request because it is hypothetical and is not tied to the facts of the case. *See, e.g.*, *Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission."); *Fulhorst v. Un. Techs. Auto., Inc.*, 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for Plaintiff to know what his licensing opportunities would have been but for Meta's failure to compensate, let alone other LLM developers. Subject to and without waiving the foregoing objections, Plaintiff responds, admit.

REQUEST FOR ADMISSION NO. 19:

1	Dated: September 19, 2024	By: /s/Bryan L. Clobes
2		Bryan L. Clobes
3		Joseph R. Saveri (State Bar No. 130064)
4		Cadio Zirpoli (State Bar No. 179108) Christopher K.L. Young (State Bar No. 318371)
5		Holden Benon (State Bar No. 325847) Aaron Cera (State Bar No. 351163)
		Margaux Poueymirou (State Bar No. 356000) JOSEPH SAVERI LAW FIRM, LLP
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15		Bryan L. Clobes (pro hac vice)
16		Alexander J. Sweatman (pro hac vice) Mohammed A. Rathur (pro hac vice anticipated)
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21		mrathur@caffertyclobes.com
		Daniel J. Muller (State Bar No. 193396) VENTURA HERSEY & MULLER, LLP
22		1506 Hamilton Avenue
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24		Facsimile: (408) 512-3023 Email: dmuller@venturahersey.com
25		Counsel for Individual and Representative Plaintiffs
26		and the Proposed Class
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Joseph R. Saveri (State Bar No. 130064) Matthew Butterick (State Bar No. 250953) Cadio Zirpoli (State Bar No. 179108) 1920 Hillhurst Avenue, 406 2 Christopher K.L. Young (State Bar No. 318371) Los Angeles, CA 90027 Holden Benon (State Bar No. 325847) Telephone: (323) 968-2632 Facsimile: (415) 395-9940 3 Aaron Cera (State Bar No. 351163) Margaux Poueymirou (State Bar No. 356000) mb@buttericklaw.com Email: JOSEPH SAVERI LAW FIRM, LLP 4 601 California Street, Suite 1505 Bryan L. Clobes (pro hac vice) San Francisco, California 94108 Alexander J. Sweatman (pro hac vice) 5 Telephone: (415) 500-6800 Mohammed A. Rathur (pro hac vice anticipated) Facsimile: (415) 395-9940 **CAFFERTY CLOBES MERIWETHER** 6 isaveri@saverilawfirm.com & SPRENGEL LLP Email: 7 czirpoli@saverilawfirm.com 135 South LaSalle Street, Suite 3210 Chicago, IL 60603 cyoung@saverilawfirm.com 8 hbenon@saverilawfirm.com Telephone: (312) 782-4880 acera@saverilawfirm.com bclobes@caffertyclobes.com Email: 9 mpoueymirou@saverilawfirm.com asweatman@caffertyclobes.com mrathur@caffertyclobes.com 10 Counsel for Individual and Representative Plaintiffs and the Proposed Class 11 [Additional counsel on signature page] 12 13 UNITED STATES DISTRICT COURT 14 NORTHERN DISTRICT OF CALIFORNIA SAN FRANCISCO DIVISION 15 16 Richard Kadrey, et al., Lead Case No. 3:23-cv-03417-VC Case No. 4:23-cy-06663 17 Individual and Representative Plaintiffs, 18 PLAINTIFF JUNOT DIAZ'S AMENDED RESPONSES TO DEFENDANT META v. 19 PLATFORMS, INC.'S SECOND SET OF REQUESTS FOR ADMISSION Meta Platforms, Inc., 20 Defendant. 21 22 23 24 25 26 27

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duplicative in whole or in part of RFAs 8-9, 11, and 13-14. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 11:

Admit that YOU are unaware of consent ever having been given (either by YOU or somebody so authorized on YOUR behalf) to use of any of YOUR ASSERTED WORKS as training data for artificial intelligence.

AMENDED RESPONSE TO REQUEST NO. 11:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and /or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff objects to this Request as unintelligible and vague as to the term "artificial intelligence" and will construe that term to mean generative artificial intelligence. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-10 and 13-14. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 12:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models (see, e.g., [March 7, 2024 denial of RFA No. 1), YOU are unaware of any lost sales due to the infringement alleged in the COMPLAINT.

AMENDED RESPONSE TO REQUEST NO. 12:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and /or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff objects to the term "lost sales" as vague and ambiguous. Plaintiff further objects to this Request because it is hypothetical and not tied to the facts of the case. See, e.g., *Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the

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facts of the case, courts do not permit "hypothetical" questions within requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 15. Further, this Request seeks premature expert opinion. Plaintiffs are still investigating their damages theory. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 13:

Admit that YOU have no documentary evidence that any PERSON has offered any consideration to YOU for the use of YOUR ASSERTED WORKS to train large language models.

AMENDED RESPONSE TO REQUEST NO. 13:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff also objects to the term "documentary evidence" as vague and overbroad because it is not limited to the specific claims and defenses raised in this dispute. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-11, and 14. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 14:

Admit that YOU have no documentary evidence that any PERSON has actually compensated YOU any consideration for use of YOUR ASSERTED WORKS to train large language models.

AMENDED RESPONSE TO REQUEST NO. 14:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff also objects to the term "documentary evidence" as vague and overbroad because it is not limited to the

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specific claims and defenses raised in this dispute. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-11, and 13. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 15:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any documentary evidence that YOU have lost sales of any ASSERTED WORKS due to the infringement alleged in the COMPLAINT.

AMENDED RESPONSE TO REQUEST NO. 15:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff also objects to the term "documentary evidence" as being vague and overbroad because it is not limited to the specific claims and defenses raised in this case. Plaintiff further objects to the term "lost sales" as vague and ambiguous. Plaintiff also objects to this Request because it is hypothetical and not tied to the facts of the case. See, e.g., *Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission.""); *Fulhorst v. Un. Techs. Auto., Inc.*, 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 12.

Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 16:

Admit that book sales for YOUR ASSERTED WORKS (including through any physical bookstore, on-line bookseller, or any other type of book wholesaler or retailer) have not decreased due to the alleged use of YOUR ASSERTED WORKS to train large language models.

1	Dated: September 6, 2024	By: /s/ Bryan L. Clobes
2		Bryan L. Clobes
3		Joseph R. Saveri (State Bar No. 130064)
		Cadio Zirpoli (State Bar No. 179108) Christopher K.L. Young (State Bar No. 318371)
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10		hbenon@saverilawfirm.com acera@saverilawfirm.com
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15		Bryan L. Clobes (pro hac vice)
16		Alexander J. Sweatman (<i>pro hac vice</i>) Mohammed A. Rathur (<i>pro hac vice anticipated</i>)
17		CAFFERTY CLOBES MERIWETHER
18		& SPRENGEL LLP 135 South LaSalle Street, Suite 3210
		Chicago, IL 60603
19		Telephone: (312) 782-4880 Email: bclobes@caffertyclobes.com
20		asweatman@caffertyclobes.com mrathur@caffertyclobes.com
21		mathan@cancityclobes.com
22		Daniel J. Muller (State Bar No. 193396)
		VENTURA HERSEY & MULLER, LLP
23		1506 Hamilton Avenue San Jose, California 95125
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25		Facsimile: (408) 512-3023 Email: dmuller@venturahersey.com
26		· ·
		Counsel for Individual and Representative Plaintiffs and the Proposed Class
27		•

Joseph R. Saveri (State Bar No. 130064) Matthew Butterick (State Bar No. 250953) Cadio Zirpoli (State Bar No. 179108) 1920 Hillhurst Avenue, 406 2 Christopher K.L. Young (State Bar No. 318371) Los Angeles, CA 90027 Holden Benon (State Bar No. 325847) Telephone: (323) 968-2632 Facsimile: (415) 395-9940 3 Aaron Cera (State Bar No. 351163) Margaux Poueymirou (State Bar No. 356000) mb@buttericklaw.com Email: JOSEPH SAVERI LAW FIRM, LLP 4 601 California Street, Suite 1505 Bryan L. Clobes (pro hac vice) San Francisco, California 94108 Alexander J. Sweatman (pro hac vice) 5 Telephone: (415) 500-6800 Mohammed A. Rathur (pro hac vice) Facsimile: (415) 395-9940 **CAFFERTY CLOBES MERIWETHER** 6 jsaveri@saverilawfirm.com & SPRENGEL LLP Email: czirpoli@saverilawfirm.com 7 135 South LaSalle Street, Suite 3210 cyoung@saverilawfirm.com Chicago, IL 60603 8 hbenon@saverilawfirm.com Telephone: (312) 782-4880 acera@saverilawfirm.com bclobes@caffertyclobes.com Email: 9 mpoueymirou@saverilawfirm.com asweatman@caffertyclobes.com mrathur@caffertyclobes.com 10 Counsel for Individual and Representative Plaintiffs and the Proposed Class 11 [Additional counsel on signature page] 12 13 UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA 14 SAN FRANCISCO DIVISION 15 Richard Kadrey, et al., Lead Case No. 3:23-cv-03417-VC 16 Case No. 4:23-cv-06663 17 *Individual and Representative Plaintiffs*, PLAINTIFF JUNOT DIAZ'S 18 SUPPLEMENTAL RESPONSES TO v. **DEFENDANT META PLATFORMS, INC.'S** 19 SECOND SET OF REQUESTS FOR Meta Platforms, Inc., **ADMISSION** 20 Defendant. 21 22 23 24 25 26 27 28

PROPOUNDING PARTIES:

Defendant Meta Platforms, Inc.

2

RESPONDING PARTIES:

Plaintiff Junot Diaz

3

SET NUMBER:

Two (2)

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Plaintiff Junot Diaz ("Plaintiff") hereby amends his responses to Defendant Meta Platforms, Inc.'s ("Defendant" or "Meta") Second Set of Requests for Admissions (the "Requests" or "RFAs").

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GENERAL OBJECTIONS

- 1. Plaintiff generally objects to Defendant's definitions and instructions to the extent they purport to require Plaintiff to respond in any way beyond what is required by the Federal and local rules.
- 2. Plaintiff objects to the Requests to the extent they seek information or materials that are protected from disclosure by attorney-client privilege, the work product doctrine, expert disclosure rules, or other applicable privileges and protections, including communications with Plaintiff's attorneys regarding the Action.

Discovery in this matter is ongoing and Plaintiff reserves the right to amend, modify, or supplement these responses with subsequently discovered responsive information and to introduce and rely upon any such subsequently discovered information in this litigation.

SUPPLEMENTAL OBJECTIONS AND RESPONSES TO INDIVIDUAL REQUESTS **REQUEST FOR ADMISSION NO. 18:**

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any specific licensing opportunity that YOU lost due to the infringement alleged in the COMPLAINT.

RESPONSE TO REQUEST NO. 18:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff Junot Diaz. Plaintiff objects to this Request as

irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff

Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. III. Dec. 7, 2016) ("Since requests to

objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g.,

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admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for Plaintiff to know what his licensing opportunities would have been but for Meta's failure to compensate, let alone other LLM developers. Subject to and without waiving the foregoing objections, Plaintiff responds that after a reasonable inquiry, the information known or that can be readily obtained by him is insufficient to enable him to admit or deny. **SUPPLEMENTAL RESPONSE TO REQUEST NO. 18:**

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff Junot Diaz. Plaintiff objects to this Request as irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g., Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. III. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for Plaintiff to know what his licensing opportunities would have been but for Meta's failure to compensate, let alone other LLM developers. Subject to and without waiving the foregoing objections, Plaintiff responds, admit.

REQUEST FOR ADMISSION NO. 19:

Admit that, other than YOUR contention that LLM developers such as Meta should have

Lead Case No. 3:23-cv-03417-VC

1	Dated: September 19, 2024	By: /s/ Bryan L. Clobes
2		Bryan L. Clobes
3		Joseph R. Saveri (State Bar No. 130064)
		Cadio Zirpoli (State Bar No. 179108) Christopher K.L. Young (State Bar No. 318371)
4		Holden Benon (State Bar No. 325847) Aaron Cera (State Bar No. 351163)
5		Margaux Poueymirou (State Bar No. 356000)
6		JOSEPH SAVERI LAW FIRM, LLP 601 California Street, Suite 1505
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8		Telephone: (415) 500-6800 Facsimile: (415) 395-9940
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9		cyoung@saverilawfirm.com
10		hbenon@saverilawfirm.com acera@saverilawfirm.com
11		mpoueymirou@saverilawfirm.com
11		Matthew Butterick (State Bar No. 250953)
12		1920 Hillhurst Avenue, 406
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		Facsimile: (415) 395-9940
14		Email: mb@buttericklaw.com
15		
16		Bryan L. Clobes (pro hac vice) Alexander J. Sweatman (pro hac vice)
		Mohammed A. Rathur (pro hac vice)
17		CAFFERTY CLOBES MERIWETHER & SPRENGEL LLP
18		135 South LaSalle Street, Suite 3210
19		Chicago, IL 60603 Telephone: (312) 782-4880
19		Email: bclobes@caffertyclobes.com
20		asweatman@caffertyclobes.com mrathur@caffertyclobes.com
21		Ç ,
22		Daniel J. Muller (State Bar No. 193396) VENTURA HERSEY & MULLER, LLP
		1506 Hamilton Avenue
23		San Jose, California 95125 Telephone: (408) 512-3022
24		Facsimile: (408) 512-3023
25		Email: dmuller@venturahersey.com
		Counsel for Individual and Representative Plaintiffs
26		and the Proposed Class
27		
28		
	Lead Case No. 3:23-cv-03417-VC	5

Plaintiffs,

Plaintiffs,

FARNSWORTH'S RESPONSES TO

DEFENDANT'S FIRST SET OF REQUESTS

FOR ADMISSIONS

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generative artificial intelligence, and so further responding, Plaintiff admits Request No. 10.

REQUEST FOR ADMISSION NO. 11:

Admit that YOU are unaware of consent ever having been given (either by YOU or somebody so authorized on YOUR behalf) to use of any of YOUR ASSERTED WORKS as training data for artificial intelligence.

sought Plaintiff's permission to use any of Plaintiff's Asserted Works as training data for

RESPONSE TO REQUEST FOR ADMISSION NO. 11:

Plaintiff objects that the term "artificial intelligence" is vague and ambiguous and will construe that term to mean generative artificial intelligence. Plaintiff further objects to this Request to the extent that it is duplicative in whole or in part of Requests for Admissions Nos. 8–10 and 13–14. Plaintiff further objects to this Request as an improper subject of a Request for Admission.

Subject to and without waiving these general and specific objections, Plaintiff admits Request No. 11.

REQUEST FOR ADMISSION NO. 12:

To the extent YOU denied Request for Admission No. 1 because YOU contend that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, admit that YOU are unaware of any lost sales due to the infringement alleged in the COMPLAINT.

RESPONSE TO REQUEST FOR ADMISSION NO. 12:

Plaintiff objects that the term "lost sales" is vague and ambiguous. Plaintiff also objects to this Request to the extent that it is an incomplete hypothetical not tied to the facts of the case. *See, e.g., Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission."); *Fulhorst v. Un. Techs. Auto., Inc.*, 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of

Request No. 15. Plaintiffs also objects that Plaintiff's position regarding other Request for Admission responses is not the proper subject of a Request for Admission.

Subject to and without waiving these general and specific objections, Plaintiff does not respond to this Request because by its own terms the Request is conditioned upon denying Request No. 1 as described. Plaintiff did not deny Request No. 1 as described and directs Defendant to his response to Request No. 1.

REQUEST FOR ADMISSION NO. 13:

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Admit that YOU have no documentary evidence that any PERSON has offered any consideration to YOU for the use of YOUR ASSERTED WORKS to train large language models.

RESPONSE TO REQUEST FOR ADMISSION NO. 13:

Plaintiff objects that the terms "documentary evidence" and "consideration" are vague and ambiguous and to the extent that they call for a legal determination. Plaintiff further objects to this Request as duplicative in whole or in part of Requests for Admissions Nos. 8–11 and 14.

Subject to and without waiving these general and specific objections, Plaintiff admits that neither Meta nor any other entity gathering training data for large language models has sought Plaintiff's permission to use any of Plaintiff's Asserted Works as training data for large language models, and so, further responding, Plaintiff admits Request No. 13.

REQUEST FOR ADMISSION NO. 14:

Admit that YOU have no documentary evidence that any PERSON has actually compensated YOU any consideration for use of YOUR ASSERTED WORKS to train large language models.

RESPONSE TO REQUEST FOR ADMISSION NO. 14:

Plaintiff objects that the terms "documentary evidence" and "consideration" are vague and ambiguous and to the extent that they call for a legal determination. Plaintiff objects to the phrase "actually compensated" as vague. Plaintiff further objects to this Request as duplicative in whole or in part of Requests for Admissions Nos. 8–11 and 13.

Subject to and without waiving these general and specific objections, Plaintiff admits that neither Meta nor any other entity gathering training data for large language models has sought

Plaintiff's permission to use any of Plaintiff's Asserted Works as training data for large language models, and so, further responding, Plaintiff admits Request for Admission No. 14.

REQUEST FOR ADMISSION NO. 15:

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To the extent YOU denied Request for Admission No. 2 because YOU contend that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, admit that YOU are unaware of any documentary evidence that YOU have lost sales of any ASSERTED WORKS due to the infringement alleged in the COMPLAINT.

RESPONSE TO REQUEST FOR ADMISSION NO. 15:

Plaintiff objects that the terms "documentary evidence" and "lost sales" are vague and ambiguous. Plaintiff objects to this Request to the extent that it is an incomplete hypothetical and not tied to the facts of the case. *See, e.g., Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission."); *Fulhorst v. Un. Techs. Auto., Inc.*, 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of Request No. 12. Plaintiff also objects that Plaintiff's position regarding other Request for Admission responses is not the proper subject of a Request for Admission.

Subject to and without waiving these general and specific objections, Plaintiff does not respond to this Request because by its own terms the Request is conditioned upon denying Request No. 2 as described. Plaintiff did not deny Request No. 2 as described and directs Defendant to his response to Request No. 2.

REQUEST FOR ADMISSION NO. 16:

Admit that book sales for YOUR ASSERTED WORKS (including through any physical bookstore, on-line bookseller, or any other type of book wholesaler or retailer) have not decreased due to the alleged use of YOUR ASSERTED WORKS to train large language models.

REQUEST FOR ADMISSION NO. 81:

Admit that you are not aware of any agreements to assign rights in or to YOUR ASSERTED WORK(S) that have not already been produced in this ACTION.

RESPONSE TO REQUEST FOR ADMISSION NO. 80:

November 18, 2024

Plaintiff objects that the terms "any agreements" and "assign rights in or to" are vague and ambiguous. Plaintiff further objects to this Request as compound and ambiguous, because it includes the disjunctive phrase, "in or to." "[R]equests for admissions should not contain 'compound, conjunctive, or disjunctive ... statements.'" James v. Maguire Corr. Facility, No. C 10-1795 SI PR, 2012 WL 3939343, at *4 (N.D. Cal. Sept. 10, 2012) (quoting U.S. ex rel. England v. Los Angeles County, 235 F.R.D. 675, 684 (E.D. Cal. 2006)); see also King v. Biter, No. 115CV00414LJOSABPC, 2018 WL 339052, at *6 (E.D. Cal. Jan. 9, 2018).

Subject to and without waiving these general and specific objections, Plaintiff admits discovery is ongoing. Plaintiff further admits that Plaintiff has produced non-privileged documents in Plaintiff's possession, custody, or control, responsive to Meta's requests for production regarding licensing agreements for Plaintiff's Asserted Works. Plaintiff otherwise denies this Request.

Respectfully submitted,

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19 LIEFF CABRASER HEIMANN & BERNSTEIN, LLP 20 By: /s/ Rachel Geman Rachel Geman

23 LIEFF CABRASER HEIMANN & BERNSTEIN, LLP 250 Hudson Street, 8th Floor 24 New York, NY10013-1413 Telephone: 212.355.9500 25

Facsimile: 212.355.959 Email: rgeman@lchb.com

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DEFENDANT'S FIRST SET OF REQUESTS

FOR ADMISSIONS

RESPONSE TO REQUEST FOR ADMISSION NO. 16:

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Plaintiff objects that the term "book sales" is vague and ambiguous. Plaintiff objects that the Request is not limited in time and is therefore overbroad and vague as to "decline." Plaintiff objects to this Request to the extent that it is an incomplete hypothetical and not tied to the facts of the case. *See, e.g., Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission."); *Fulhorst v. Un. Techs. Auto., Inc.*, 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff objects to this Request to the extent that it seeks information that is properly the subject of expert testimony. Plaintiff further objects to this Request as an improper subject of a Request for Admission.

Subject to and without waiving these general and specific objections, Plaintiff responds that he will not admit or deny this Request, on the grounds that the information requested is not a proper subject of a Request for Admission. If a response is deemed required, Plaintiff denies the Request on this same basis. Plaintiff agrees to meet and confer on the appropriate vehicle for discovering Plaintiffs' current knowledge or awareness.

REQUEST FOR ADMISSION NO. 17:

Admit that YOU are aware that YOUR ASSERTED WORKS have been a part of a dataset that has been used to train large language models beyond those large language models by Meta.

RESPONSE TO REQUEST FOR ADMISSION NO. 17:

Plaintiff objects to the term "book sales" is vague and ambiguous. Plaintiff further objects to this Request as an improper subject of a Request for Admission.

Subject to and without waiving these general and specific objections, Plaintiff admits Request No. 17.

REQUEST FOR ADMISSION NO. 18:

To the extent YOU denied Request for Admission No. 3 because YOU contend that LLM

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WORKS to train large language models, admit that YOU are unaware of any specific licensing opportunity that YOU lost due to the infringement alleged in the COMPLAINT.

developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED

RESPONSE TO REQUEST FOR ADMISSION NO. 18:

Plaintiff objects that the terms "opportunity" and "lost" are vague and ambiguous. Plaintiff objects to this Request to the extent that it is an incomplete hypothetical and not tied to the facts of the case. See, e.g., Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. III. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for Plaintiff to know what his licensing opportunities would have been but for Meta's failure to compensate Plaintiff, let alone other LLM developers. Plaintiff also objects that Plaintiff's position regarding other Request for Admission responses is not the proper subject of a Request for Admission.

Subject to and without waiving these general and specific objections, Plaintiff does not respond to this Request because by its own terms the Request is conditioned upon denying Request No. 3 as described. Plaintiff did not deny Request No. 3 as described and directs Defendant to his response to Request No. 3.

REQUEST FOR ADMISSION NO. 19:

To the extent YOU denied Request for Admission No. 4 because YOU contend that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, admit that YOU are unaware of any documentary evidence that YOU lost a specific licensing opportunity due to the infringement alleged in the COMPLAINT.

RESPONSE TO REQUEST FOR ADMISSION NO. 19:

Plaintiff objects that the terms "opportunity" and "documentary evidence" are vague and ambiguous. Plaintiff further objects to this Request to the extent that it is an incomplete

REQUEST FOR ADMISSION NO. 81:

Admit that you are not aware of any agreements to assign rights in or to YOUR ASSERTED WORK(S) that have not already been produced in this ACTION.

RESPONSE TO REQUEST FOR ADMISSION NO. 80:

Plaintiff objects that the terms "any agreements" and "assign rights in or to" are vague and ambiguous. Plaintiff further objects to this Request as compound and ambiguous, because it includes the disjunctive phrase, "in or to." "[R]equests for admissions should not contain 'compound, conjunctive, or disjunctive ... statements." *James v. Maguire Corr. Facility*, No. C 10-1795 SI PR, 2012 WL 3939343, at *4 (N.D. Cal. Sept. 10, 2012) (*quoting U.S. ex rel. England v. Los Angeles County*, 235 F.R.D. 675, 684 (E.D. Cal. 2006)); *see also King v. Biter*, No. 115CV00414LJOSABPC, 2018 WL 339052, at *6 (E.D. Cal. Jan. 9, 2018).

Subject to and without waiving these general and specific objections, Plaintiff admits discovery is ongoing. Plaintiff further admits that Plaintiff has produced non-privileged documents in Plaintiff's possession, custody, or control, responsive to Meta's requests for production regarding licensing agreements for Plaintiff's Asserted Works. Plaintiff otherwise denies this Request.

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Dated: November 18, 2024 Respectfully submitted,

LIEFF CABRASER HEIMANN & BERNSTEIN, LLP

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By: <u>/s/ Rachel Geman</u>
Rachel Geman

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LIEFF CABRASER HEIMANN & BERNSTEIN, LLP
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Joseph R. Saveri (State Bar No. 130064) Matthew Butterick (State Bar No. 250953) 1 Cadio Zirpoli (State Bar No. 179108) 1920 Hillhurst Avenue, 406 Christopher K.L. Young (State Bar No. 318371) Los Angeles, CA 90027 2 Holden Benon (State Bar No. 325847) Telephone: (323) 968-2632 Aaron Cera (State Bar No. 351163) Facsimile: (415) 395-9940 3 Margaux Poueymirou (State Bar No. 356000) Email: mb@buttericklaw.com JOSEPH SAVERI LAW FIRM, LLP 4 601 California Street, Suite 1505 Bryan L. Clobes (pro hac vice) 5 San Francisco, California 94108 Alexander J. Sweatman (pro hac vice anticipated) Telephone: (415) 500-6800 CAFFERTY CLOBES MERIWETHER Facsimile: (415) 395-9940 & SPRENGEL LLP 6 Email: 135 South LaSalle Street, Suite 3210 jsaveri@saverilawfirm.com czirpoli@saverilawfirm.com 7 Chicago, IL 60603 cyoung@saverilawfirm.com Telephone: (312) 782-4880 hbenon@saverilawfirm.com Email: bclobes@caffertyclobes.com 8 acera@saverilawfirm.com asweatman@caffertyclobes.com 9 mpoueymirou@saverilawfirm.com 10 Counsel for Individual and Representative Plaintiffs and the Proposed Class 11 [Additional counsel on signature page] 12 13 UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA 14 SAN FRANCISCO DIVISION 15 16 Richard Kadrey, et al., Lead Case No. 3:23-cv-03417-VC Case No. 4:23-cv-06663 17 Individual and Representative Plaintiffs, PLAINTIFF CHRISTOPHER GOLDEN'S 18 AMENDED RESPONSES TO DEFENDANT META PLATFORMS, 19 INC.'S SECOND SET OF REQUESTS FOR Meta Platforms, Inc., **ADMISSION** 20 Defendant. 21 22 23 24 25 26 27

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duplicative in whole or in part of RFAs 8-9, 11, and 13-14. Subject to and without waiving the foregoing objections, Plaintiff responds, admit.

REQUEST FOR ADMISSION NO. 11:

Admit that YOU are unaware of consent ever having been given (either by YOU or somebody so authorized on YOUR behalf) to use of any of YOUR ASSERTED WORKS as training data for artificial intelligence.

AMENDED RESPONSE TO REQUEST NO. 11:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and /or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff objects to this Request as unintelligible and vague as to the term "artificial intelligence" and will construe that term to mean generative artificial intelligence. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-10 and 13-14. Subject to and without waiving the foregoing objections, Plaintiff responds, admit.

REQUEST FOR ADMISSION NO. 12:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models (see, e.g., [March 7, 2024 denial of RFA No. 1), YOU are unaware of any lost sales due to the infringement alleged in the COMPLAINT.

AMENDED RESPONSE TO REQUEST NO. 12:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and /or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff objects to the term "lost sales" as rendering this Request vague and ambiguous. Plaintiff further objects to this Request because it is hypothetical and not tied to the facts of the case. See, e.g., *Buchanan v. Chi.*

 Transit Auth., 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission.'"); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 15. Further, this Request seeks premature expert opinion. Plaintiffs are still investigating their damages theory. Subject to and without waiving the foregoing objections, Plaintiff responds, as of today, admit.

REQUEST FOR ADMISSION NO. 13:

Admit that YOU have no documentary evidence that any PERSON has offered any consideration to YOU for the use of YOUR ASSERTED WORKS to train large language models.

AMENDED RESPONSE TO REQUEST NO. 13:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff also objects to the term "documentary evidence" as being vague and overbroad because it is not limited to the specific claims and defenses raised in this dispute. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-11, and 14. Subject to and without waiving the foregoing objections, Plaintiff responds, admit.

REQUEST FOR ADMISSION NO. 14:

Admit that YOU have no documentary evidence that any PERSON has actually compensated YOU any consideration for use of YOUR ASSERTED WORKS to train large language models.

AMENDED RESPONSE TO REQUEST NO. 14:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties,

Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff also objects to the term "documentary evidence" as being vague and overbroad because it is not limited to the specific claims and defenses raised in this dispute. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-11, and 13. Subject to and without waiving the foregoing objections, Plaintiff responds, admit.

REQUEST FOR ADMISSION NO. 15:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any documentary evidence that YOU have lost sales of any ASSERTED WORKS due to the infringement alleged in the COMPLAINT.

AMENDED RESPONSE TO REQUEST NO. 15:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff also objects to the term "documentary evidence" as being vague and overbroad because it is not limited to the specific claims and defenses raised in this dispute. Plaintiff further objects to the term "lost sales" as rendering this Request vague and ambiguous. Plaintiff also objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g., Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission.'"); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 12. Subject to and without waiving the foregoing objections, Plaintiff responds, admit.

REQUEST FOR ADMISSION NO. 16:

Admit that book sales for YOUR ASSERTED WORKS (including through any physical

1	Dated: August 28, 2024	By: /s/ Joseph R. Saveri Joseph R. Saveri
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4		Christopher K.L. Young (State Bar No. 318371)
5		Holden Benon (State Bar No. 325847) Aaron Cera (State Bar No. 351163)
		Margaux Poueymirou (State Bar No. 356000) JOSEPH SAVERI LAW FIRM, LLP
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10		hbenon@saverilawfirm.com acera@saverilawfirm.com
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12		Matthew Butterick (State Bar No. 250953)
13		1920 Hillhurst Avenue, 406 Los Angeles, CA 90027
14		Telephone: (323)968-2632
15		Facsimile: (415) 395-9940 Email: mb@buttericklaw.com
16		Bryan L. Clobes (pro hac vice)
		Alexander J. Sweatman (pro hac vice anticipated)
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20		asweatman@caffertyclobes.com
21		D '11 M 11 (Co., D N 10000)
22		Daniel J. Muller (State Bar No. 193396) VENTURA HERSEY & MULLER, LLP
23		1506 Hamilton Avenue San Jose, California 95125
24		Telephone: (408) 512-3022 Facsimile: (408) 512-3023
25		Email: dmuller@venturahersey.com
26		Counsel for Individual and Representative Plaintiffs and the Proposed Class
27		1

Bryan L. Clobes (pro hac vice) Joseph R. Saveri (State Bar No. 130064) 1 Cadio Zirpoli (State Bar No. 179108) Alexander J. Sweatman (pro hac vice) Christopher K.L. Young (State Bar No. 318371) 2 CAFFERTY CLOBES MERIWETHER Holden Benon (State Bar No. 325847) & SPRENGEL LLP Aaron Cera (State Bar No. 351163) 3 135 South LaSalle Street, Suite 3210 Margaux Poueymirou (State Bar No. 356000) Chicago, IL 60603 JOSEPH SAVERI LAW FIRM, LLP 4 Telephone: (312) 782-4880 601 California Street, Suite 1505 San Francisco, California 94108 5 Email: bclobes@caffertyclobes.com Telephone: (415) 500-6800 asweatman@caffertyclobes.com Facsimile: (415) 395-9940 6 Email: jsaveri@saverilawfirm.com David A. Straite (pro hac vice) czirpoli@saverilawfirm.com 7 DICELLO LEVITT LLP cyoung@saverilawfirm.com hbenon@saverilawfirm.com 4747 Executive Drive, 2nd Floor 8 acera@saverilawfirm.com San Diego, California 92121 9 mpoueymirou@saverilawfirm.com Telephone: (619) 923-3939 Email: dstraite@dicellolevitt.com 10 Matthew Butterick (State Bar No. 250953) 1920 Hillhurst Avenue, 406 11 Los Angeles, CA 90027 Telephone: (323) 968-2632 Facsimile: (415) 395-9940 12 Email: mb@buttericklaw.com 13 Counsel for Individual and Representative Plaintiffs and the Proposed Class 14 15 [Additional counsel on signature page] 16 UNITED STATES DISTRICT COURT 17 NORTHERN DISTRICT OF CALIFORNIA SAN FRANCISCO DIVISION 18 19 Richard Kadrey, et al., Lead Case No. 3:23-cv-03417-VC Case No. 4:23-cv-06663 20 Individual and Representative Plaintiffs, PLAINTIFF CHRISTOPHER GOLDEN'S 21 AMENDED RESPONSES TO v. DEFENDANT META PLATFORMS, 22 INC.'S SECOND SET OF REQUESTS FOR Meta Platforms, Inc., **ADMISSION** 23 Defendant. 24 25 26

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PROPOUNDING PARTIES:

Defendant Meta Platforms, Inc.

RESPONDING PARTIES:

Plaintiff Christopher Golden

SET NUMBER:

Two (2)

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Plaintiff Christopher Golden ("Plaintiff") hereby amends his responses to Defendant Meta Platforms, Inc.'s ("Defendant" or "Meta") Second Set of Requests for Admissions (the "Requests" or "RFAs").

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GENERAL OBJECTIONS

- 1. Plaintiff generally objects to Defendant's definitions and instructions to the extent they purport to require Plaintiff to respond in any way beyond what is required by the Federal and local rules.
- 2. Plaintiff objects to the Requests to the extent they seek information or materials that are protected from disclosure by attorney-client privilege, the work product doctrine, expert disclosure rules, or other applicable privileges and protections, including communications with Plaintiff's attorneys regarding the Action.

Discovery in this matter is ongoing and Plaintiff reserves the right to amend, modify, or supplement these responses with subsequently discovered responsive information and to introduce and rely upon any such subsequently discovered information in this litigation.

AMENDED OBJECTIONS AND RESPONSES TO INDIVIDUAL REQUESTS **REQUEST FOR ADMISSION NO. 18:**

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any specific licensing opportunity that YOU lost due to the infringement alleged in the COMPLAINT.

RESPONSE TO REQUEST NO. 18:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff Christopher Golden. Plaintiff objects to this Request

objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g.,

as irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff

Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to

admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within

requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17,

1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use

of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for

compensate, let alone other LLM developers. Subject to and without waiving the foregoing objections,

Plaintiff responds that after a reasonable inquiry, the information known or that can be readily obtained

Plaintiff to know what his licensing opportunities would have been but for Meta's failure to

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AMENDED RESPONSE TO REQUEST NO. 18:

by him is insufficient to enable him to admit or deny.

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff Christopher Golden. Plaintiff objects to this Request as irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g., Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission.'"); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for Plaintiff to know what his licensing opportunities would have been but for Meta's failure to compensate, let alone other LLM developers. Subject to and without waiving the foregoing objections, Plaintiff responds, admit.

REQUEST FOR ADMISSION NO. 19:

Admit that, other than YOUR contention that LLM developers such as Meta should have

1	Dated: September 19, 2024	By: /s/ Joseph R. Saveri
2		Joseph R. Saveri
3		Joseph R. Saveri (State Bar No. 130064) Cadio Zirpoli (State Bar No. 179108) Christophor K. L. Young (State Bar No. 218271)
4		Christopher K.L. Young (State Bar No. 318371) Holden Benon (State Bar No. 325847)
5		Aaron Cera (State Bar No. 351163) Margaux Poueymirou (State Bar No. 356000)
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21		Daniel J. Muller (State Bar No. 193396)
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24		Facsimile: (408) 512-3023 Email: dmuller@venturahersey.com
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Joseph R. Saveri (State Bar No. 130064) Matthew Butterick (State Bar No. 250953) Cadio Zirpoli (State Bar No. 179108) 1920 Hillhurst Avenue, 406 2 Christopher K.L. Young (State Bar No. 318371) Los Angeles, CA 90027 Holden Benon (State Bar No. 325847) Telephone: (323) 968-2632 Facsimile: (415) 395-9940 3 Aaron Cera (State Bar No. 351163) Margaux Poueymirou (State Bar No. 356000) mb@buttericklaw.com Email: JOSEPH SAVERI LAW FIRM, LLP 4 601 California Street, Suite 1505 Bryan L. Clobes (pro hac vice) San Francisco, California 94108 Alexander J. Sweatman (pro hac vice) 5 Telephone: (415) 500-6800 Mohammed A. Rathur (pro hac vice anticipated) Facsimile: (415) 395-9940 **CAFFERTY CLOBES MERIWETHER** 6 isaveri@saverilawfirm.com & SPRENGEL LLP Email: czirpoli@saverilawfirm.com 7 135 South LaSalle Street, Suite 3210 Chicago, IL 60603 cyoung@saverilawfirm.com 8 hbenon@saverilawfirm.com Telephone: (312) 782-4880 acera@saverilawfirm.com bclobes@caffertyclobes.com Email: 9 mpoueymirou@saverilawfirm.com asweatman@caffertyclobes.com mrathur@caffertyclobes.com 10 Counsel for Individual and Representative Plaintiffs and the Proposed Class 11 [Additional counsel on signature page] 12 13 UNITED STATES DISTRICT COURT 14 NORTHERN DISTRICT OF CALIFORNIA SAN FRANCISCO DIVISION 15 16 Richard Kadrey, et al., Lead Case No. 3:23-cv-03417-VC Case No. 4:23-cv-06663 17 Individual and Representative Plaintiffs, 18 PLAINTIFF ANDREW SEAN GREER'S AMENDED RESPONSES TO DEFENDANT v. 19 META PLATFORMS, INC.'S SECOND SET OF REQUESTS FOR ADMISSION Meta Platforms, Inc., 20 Defendant. 21 22 23 24 25 26

Lead Case No. 3:23-cv-03417-VC

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duplicative in whole or in part of RFAs 8-9, 11, and 13-14. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 11:

Admit that YOU are unaware of consent ever having been given (either by YOU or somebody so authorized on YOUR behalf) to use of any of YOUR ASSERTED WORKS as training data for artificial intelligence.

AMENDED RESPONSE TO REQUEST NO. 11:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and /or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff objects to this Request as unintelligible and vague as to the term "artificial intelligence" and will construe that term to mean generative artificial intelligence. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-10 and 13-14. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 12:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models (see, e.g., [March 7, 2024 denial of RFA No. 1), YOU are unaware of any lost sales due to the infringement alleged in the COMPLAINT.

AMENDED RESPONSE TO REQUEST NO. 12:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and /or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff objects to the term "lost sales" as vague and ambiguous. Plaintiff further objects to this Request because it is hypothetical and not tied to the facts of the case. See, e.g., *Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the

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facts of the case, courts do not permit "hypothetical" questions within requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 15. Further, this Request seeks premature expert opinion. Plaintiffs are still investigating their damages theory. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 13:

Admit that YOU have no documentary evidence that any PERSON has offered any consideration to YOU for the use of YOUR ASSERTED WORKS to train large language models.

AMENDED RESPONSE TO REQUEST NO. 13:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff also objects to the term "documentary evidence" as vague and overbroad because it is not limited to the specific claims and defenses raised in this dispute. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-11, and 14. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 14:

Admit that YOU have no documentary evidence that any PERSON has actually compensated YOU any consideration for use of YOUR ASSERTED WORKS to train large language models.

AMENDED RESPONSE TO REQUEST NO. 14:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff also objects to the term "documentary evidence" as vague and overbroad because it is not limited to the

specific claims and defenses raised in this dispute. Plaintiff further objects to this Request as

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REQUEST FOR ADMISSION NO. 16:

Admit that book sales for YOUR ASSERTED WORKS (including through any physical bookstore, on-line bookseller, or any other type of book wholesaler or retailer) have not decreased due to the alleged use of YOUR ASSERTED WORKS to train large language models.

duplicative in whole or in part of RFAs 8-11, and 13. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 15:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any documentary evidence that YOU have lost sales of any ASSERTED WORKS due to the infringement alleged in the COMPLAINT.

AMENDED RESPONSE TO REQUEST NO. 15:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff also objects to the term "documentary evidence" as being vague and overbroad because it is not limited to the specific claims and defenses raised in this case. Plaintiff further objects to the term "lost sales" as vague and ambiguous. Plaintiff also objects to this Request because it is hypothetical and not tied to the facts of the case. See, e.g., Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. III. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 12. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

1	Dated: September 6, 2024	By: /s/ Bryan L. Clobes
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		Cadio Zirpoli (State Bar No. 179108) Christopher K.L. Young (State Bar No. 318371)
4		Holden Benon (State Bar No. 325847) Aaron Cera (State Bar No. 351163)
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11		mpoueymirou@saverilawfirm.com
		Matthew Butterick (State Bar No. 250953)
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13		Telephone: (323)968-2632
14		Facsimile: (415) 395-9940
		Email: mb@buttericklaw.com
15		Bryan L. Clobes (pro hac vice)
16		Alexander J. Sweatman (<i>pro hac vice</i>) Mohammed A. Rathur (<i>pro hac vice anticipated</i>)
17		CAFFERTY CLOBES MERIWETHER
18		& SPRENGEL LLP 135 South LaSalle Street, Suite 3210
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20		asweatman@caffertyclobes.com mrathur@caffertyclobes.com
21		mathan@eanertyclobes.com
22		Daniel J. Muller (State Bar No. 193396)
		VENTURA HERSEY & MULLER, LLP
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25		Facsimile: (408) 512-3023 Email: dmuller@venturahersey.com
26		Counsel for Individual and Representative Plaintiffs
		and the Proposed Class
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Joseph R. Saveri (State Bar No. 130064) Matthew Butterick (State Bar No. 250953) Cadio Zirpoli (State Bar No. 179108) 1920 Hillhurst Avenue, 406 2 Christopher K.L. Young (State Bar No. 318371) Los Angeles, CA 90027 Holden Benon (State Bar No. 325847) Telephone: (323) 968-2632 Facsimile: (415) 395-9940 3 Aaron Cera (State Bar No. 351163) Margaux Poueymirou (State Bar No. 356000) mb@buttericklaw.com Email: JOSEPH SAVERI LAW FIRM, LLP 4 601 California Street, Suite 1505 Bryan L. Clobes (pro hac vice) San Francisco, California 94108 Alexander J. Sweatman (pro hac vice) 5 Telephone: (415) 500-6800 Mohammed A. Rathur (pro hac vice) Facsimile: (415) 395-9940 CAFFERTY CLOBES MERIWETHER 6 jsaveri@saverilawfirm.com & SPRENGEL LLP Email: czirpoli@saverilawfirm.com 7 135 South LaSalle Street, Suite 3210 cyoung@saverilawfirm.com Chicago, IL 60603 8 hbenon@saverilawfirm.com Telephone: (312) 782-4880 acera@saverilawfirm.com bclobes@caffertyclobes.com Email: 9 mpoueymirou@saverilawfirm.com asweatman@caffertyclobes.com mrathur@caffertyclobes.com 10 Counsel for Individual and Representative Plaintiffs and the Proposed Class 11 [Additional counsel on signature page] 12 13 UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA 14 SAN FRANCISCO DIVISION 15 Richard Kadrey, et al., Lead Case No. 3:23-cv-03417-VC 16 Case No. 4:23-cv-06663 17 *Individual and Representative Plaintiffs*, PLAINTIFF ANDREW SEAN GREER'S 18 SUPPLEMENTAL RESPONSES TO v. **DEFENDANT META PLATFORMS, INC.'S** 19 SECOND SET OF REQUESTS FOR Meta Platforms, Inc., **ADMISSION** 20 Defendant. 21 22 23 24 25 26 27 28

PROPOUNDING PARTIES:

Defendant Meta Platforms, Inc.

2

RESPONDING PARTIES:

Plaintiff Andrew Sean Greer

3

SET NUMBER:

1.

Two (2)

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Plaintiff Andrew Sean Greer ("Plaintiff") hereby amends his responses to Defendant Meta Platforms, Inc.'s ("Defendant" or "Meta") Second Set of Requests for Admissions (the "Requests" or "RFAs").

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GENERAL OBJECTIONS

Plaintiff generally objects to Defendant's definitions and instructions to the extent they

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purport to require Plaintiff to respond in any way beyond what is required by the Federal and local

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rules.

2. Plaintiff objects to the Requests to the extent they seek information or materials that are

protected from disclosure by attorney-client privilege, the work product doctrine, expert disclosure

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rules, or other applicable privileges and protections, including communications with Plaintiff's

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attorneys regarding the Action.

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supplement these responses with subsequently discovered responsive information and to introduce and

Discovery in this matter is ongoing and Plaintiff reserves the right to amend, modify, or

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rely upon any such subsequently discovered information in this litigation.

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SUPPLEMENTAL OBJECTIONS AND RESPONSES TO INDIVIDUAL REQUESTS **REQUEST FOR ADMISSION NO. 18:**

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Admit that, other than YOUR contention that LLM developers such as Meta should have

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compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU

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are unaware of any specific licensing opportunity that YOU lost due to the infringement alleged in the

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RESPONSE TO REQUEST NO. 18:

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Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it

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COMPLAINT.

includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the

terms "You" and "Your" as referring to Plaintiff Andrew Sean Greer. Plaintiff objects to this Request

as irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff

Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. III. Dec. 7, 2016) ("Since requests to

admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within

requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17,

1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use

of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for

compensate, let alone other LLM developers. Subject to and without waiving the foregoing objections,

Plaintiff responds that after a reasonable inquiry, the information known or that can be readily obtained

Plaintiff to know what his licensing opportunities would have been but for Meta's failure to

objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g.,

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SUPPLEMENTAL RESPONSE TO REQUEST NO. 18:

by him is insufficient to enable him to admit or deny.

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff Andrew Sean Greer. Plaintiff objects to this Request as irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g., Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission.'"); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for Plaintiff to know what his licensing opportunities would have been but for Meta's failure to compensate, let alone other LLM developers. Subject to and without waiving the foregoing objections,

REQUEST FOR ADMISSION NO. 19:

Plaintiff responds, admit.

1	Dated: September 19, 2024	By: /s/Bryan L. Clobes
2		Bryan L. Clobes
3		Joseph R. Saveri (State Bar No. 130064)
4		Cadio Zirpoli (State Bar No. 179108) Christopher K.L. Young (State Bar No. 318371)
5		Holden Benon (State Bar No. 325847) Aaron Cera (State Bar No. 351163)
		Margaux Poueymirou (State Bar No. 356000) JOSEPH SAVERI LAW FIRM, LLP
6		601 California Street, Suite 1505 San Francisco, California 94108
7		Telephone: (415) 500-6800
8		Facsimile: (415) 395-9940 Email: jsaveri@saverilawfirm.com
9		czirpoli@saverilawfirm.com cyoung@saverilawfirm.com
10		hbenon@saverilawfirm.com acera@saverilawfirm.com
11		mpoueymirou@saverilawfirm.com
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		Los Angeles, CA 90027
13		Telephone: (323)968-2632 Facsimile: (415) 395-9940
14		Email: mb@buttericklaw.com
15		Bryan L. Clobes (pro hac vice)
16		Alexander J. Sweatman (pro hac vice) Mohammed A. Rathur (pro hac vice)
17		CAFFERTY CLOBES MERIWETHER
18		& SPRENGEL LLP 135 South LaSalle Street, Suite 3210
19		Chicago, IL 60603 Telephone: (312) 782-4880
20		Email: bclobes@caffertyclobes.com asweatman@caffertyclobes.com
21		mrathur@caffertyclobes.com
		Daniel J. Muller (State Bar No. 193396)
22		VENTURA HERSEY & MULLER, LLP 1506 Hamilton Avenue
23		San Jose, California 95125 Telephone: (408) 512-3022
24		Facsimile: (408) 512-3023 Email: dmuller@venturahersey.com
25		Counsel for Individual and Representative Plaintiffs
26		and the Proposed Class
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	Lead Case No. 3:23-cv-03417-VC	5

Joseph R. Saveri (State Bar No. 130064) Matthew Butterick (State Bar No. 250953) Cadio Zirpoli (State Bar No. 179108) 1920 Hillhurst Avenue, 406 2 Christopher K.L. Young (State Bar No. 318371) Los Angeles, CA 90027 Holden Benon (State Bar No. 325847) Telephone: (323) 968-2632 Facsimile: (415) 395-9940 3 Aaron Cera (State Bar No. 351163) Margaux Poueymirou (State Bar No. 356000) mb@buttericklaw.com Email: JOSEPH SAVERI LAW FIRM, LLP 4 601 California Street, Suite 1505 Bryan L. Clobes (pro hac vice) San Francisco, California 94108 Alexander J. Sweatman (pro hac vice) 5 Telephone: (415) 500-6800 Mohammed A. Rathur (pro hac vice anticipated) Facsimile: (415) 395-9940 **CAFFERTY CLOBES MERIWETHER** 6 isaveri@saverilawfirm.com & SPRENGEL LLP Email: czirpoli@saverilawfirm.com 7 135 South LaSalle Street, Suite 3210 Chicago, IL 60603 cyoung@saverilawfirm.com 8 hbenon@saverilawfirm.com Telephone: (312) 782-4880 acera@saverilawfirm.com bclobes@caffertyclobes.com Email: 9 mpoueymirou@saverilawfirm.com asweatman@caffertyclobes.com mrathur@caffertyclobes.com 10 Counsel for Individual and Representative Plaintiffs and the Proposed Class 11 [Additional counsel on signature page] 12 13 UNITED STATES DISTRICT COURT 14 NORTHERN DISTRICT OF CALIFORNIA SAN FRANCISCO DIVISION 15 16 Richard Kadrey, et al., Lead Case No. 3:23-cv-03417-VC Case No. 4:23-cv-06663 17 Individual and Representative Plaintiffs, 18 PLAINTIFF DAVID HENRY HWANG'S AMENDED RESPONSES TO DEFENDANT v. 19 META PLATFORMS, INC.'S SECOND SET OF REQUESTS FOR ADMISSION Meta Platforms, Inc., 20 Defendant. 21 22 23 24 25 26

Lead Case No. 3:23-cv-03417-VC

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duplicative in whole or in part of RFAs 8-9, 11, and 13-14. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 11:

Admit that YOU are unaware of consent ever having been given (either by YOU or somebody so authorized on YOUR behalf) to use of any of YOUR ASSERTED WORKS as training data for artificial intelligence.

AMENDED RESPONSE TO REQUEST NO. 11:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and /or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff objects to this Request as unintelligible and vague as to the term "artificial intelligence" and will construe that term to mean generative artificial intelligence. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-10 and 13-14. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 12:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models (see, e.g., [March 7, 2024 denial of RFA No. 1), YOU are unaware of any lost sales due to the infringement alleged in the COMPLAINT.

AMENDED RESPONSE TO REQUEST NO. 12:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and /or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff objects to the term "lost sales" as vague and ambiguous. Plaintiff further objects to this Request because it is hypothetical and not tied to the facts of the case. See, e.g., *Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the

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facts of the case, courts do not permit "hypothetical" questions within requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 15. Further, this Request seeks premature expert opinion. Plaintiffs are still investigating their damages theory. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 13:

Admit that YOU have no documentary evidence that any PERSON has offered any consideration to YOU for the use of YOUR ASSERTED WORKS to train large language models.

AMENDED RESPONSE TO REQUEST NO. 13:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff also objects to the term "documentary evidence" as vague and overbroad because it is not limited to the specific claims and defenses raised in this dispute. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-11, and 14. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 14:

Admit that YOU have no documentary evidence that any PERSON has actually compensated YOU any consideration for use of YOUR ASSERTED WORKS to train large language models.

AMENDED RESPONSE TO REQUEST NO. 14:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff also objects to the term "documentary evidence" as vague and overbroad because it is not limited to the

specific claims and defenses raised in this dispute. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-11, and 13. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 15:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any documentary evidence that YOU have lost sales of any ASSERTED WORKS due to the infringement alleged in the COMPLAINT.

AMENDED RESPONSE TO REQUEST NO. 15:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff also objects to the term "documentary evidence" as being vague and overbroad because it is not limited to the specific claims and defenses raised in this case. Plaintiff further objects to the term "lost sales" as vague and ambiguous. Plaintiff also objects to this Request because it is hypothetical and not tied to the facts of the case. See, e.g., *Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission.""); *Fulhorst v. Un. Techs. Auto., Inc.*, 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 12. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 16:

Admit that book sales for YOUR ASSERTED WORKS (including through any physical bookstore, on-line bookseller, or any other type of book wholesaler or retailer) have not decreased due to the alleged use of YOUR ASSERTED WORKS to train large language models.

1	Dated: September 6, 2024	By: /s/ Bryan L. Clobes
2		Bryan L. Clobes
3		Joseph R. Saveri (State Bar No. 130064)
		Cadio Zirpoli (State Bar No. 179108) Christopher K.L. Young (State Bar No. 318371)
4		Holden Benon (State Bar No. 325847) Aaron Cera (State Bar No. 351163)
5		Margaux Poueymirou (State Bar No. 356000)
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9		cyoung@saverilawfirm.com
10		hbenon@saverilawfirm.com acera@saverilawfirm.com
11		mpoueymirou@saverilawfirm.com
		Matthew Butterick (State Bar No. 250953) 1920 Hillhurst Avenue, 406
12		Los Angeles, CA 90027
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14		Facsimile: (415) 395-9940 Email: mb@buttericklaw.com
15		Zinan. mo@outterrexiacom
16		Bryan L. Clobes (pro hac vice) Alexander J. Sweatman (<i>pro hac vice</i>)
		Mohammed A. Rathur (pro hac vice anticipated)
17		CAFFERTY CLOBES MERIWETHER & SPRENGEL LLP
18		135 South LaSalle Street, Suite 3210 Chicago, IL 60603
19		Telephone: (312) 782-4880
20		Email: bclobes@caffertyclobes.com asweatman@caffertyclobes.com
		mrathur@caffertyclobes.com
21		
22		Daniel J. Muller (State Bar No. 193396)
23		VENTURA HERSEY & MULLER, LLP 1506 Hamilton Avenue
24		San Jose, California 95125 Telephone: (408) 512-3022
		Facsimile: (408) 512-3023
25		Email: dmuller@venturahersey.com
26		Counsel for Individual and Representative Plaintiffs
27		and the Proposed Class

Joseph R. Saveri (State Bar No. 130064) Matthew Butterick (State Bar No. 250953) Cadio Zirpoli (State Bar No. 179108) 1920 Hillhurst Avenue, 406 2 Christopher K.L. Young (State Bar No. 318371) Los Angeles, CA 90027 Holden Benon (State Bar No. 325847) Telephone: (323) 968-2632 Facsimile: (415) 395-9940 3 Aaron Cera (State Bar No. 351163) Margaux Poueymirou (State Bar No. 356000) mb@buttericklaw.com Email: JOSEPH SAVERI LAW FIRM, LLP 4 601 California Street, Suite 1505 Bryan L. Clobes (pro hac vice) San Francisco, California 94108 Alexander J. Sweatman (pro hac vice) 5 Telephone: (415) 500-6800 Mohammed A. Rathur (pro hac vice) Facsimile: (415) 395-9940 CAFFERTY CLOBES MERIWETHER 6 jsaveri@saverilawfirm.com & SPRENGEL LLP Email: czirpoli@saverilawfirm.com 7 135 South LaSalle Street, Suite 3210 Chicago, IL 60603 cyoung@saverilawfirm.com 8 hbenon@saverilawfirm.com Telephone: (312) 782-4880 acera@saverilawfirm.com bclobes@caffertyclobes.com Email: 9 mpoueymirou@saverilawfirm.com asweatman@caffertyclobes.com mrathur@caffertyclobes.com 10 Counsel for Individual and Representative Plaintiffs and the Proposed Class 11 [Additional counsel on signature page] 12 13 UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA 14 SAN FRANCISCO DIVISION 15 Richard Kadrey, et al., Lead Case No. 3:23-cv-03417-VC 16 Case No. 4:23-cv-06663 17 *Individual and Representative Plaintiffs*, PLAINTIFF DAVID HENRY HWANG'S 18 SUPPLEMENTAL RESPONSES TO v. **DEFENDANT META PLATFORMS, INC.'S** 19 SECOND SET OF REQUESTS FOR Meta Platforms, Inc., **ADMISSION** 20 Defendant. 21 22 23 24 25 26 27 28

PROPOUNDING PARTIES:

Defendant Meta Platforms, Inc.

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RESPONDING PARTIES:

Plaintiff David Henry Hwang

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SET NUMBER:

1.

Two (2)

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Plaintiff David Henry Hwang ("Plaintiff") hereby amends his responses to Defendant Meta Platforms, Inc.'s ("Defendant" or "Meta") Second Set of Requests for Admissions (the "Requests" or "RFAs").

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GENERAL OBJECTIONS

Plaintiff generally objects to Defendant's definitions and instructions to the extent they

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- purport to require Plaintiff to respond in any way beyond what is required by the Federal and local rules.

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2. Plaintiff objects to the Requests to the extent they seek information or materials that are protected from disclosure by attorney-client privilege, the work product doctrine, expert disclosure rules, or other applicable privileges and protections, including communications with Plaintiff's attorneys regarding the Action.

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Discovery in this matter is ongoing and Plaintiff reserves the right to amend, modify, or supplement these responses with subsequently discovered responsive information and to introduce and rely upon any such subsequently discovered information in this litigation.

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SUPPLEMENTAL OBJECTIONS AND RESPONSES TO INDIVIDUAL REQUESTS REQUEST FOR ADMISSION NO. 18:

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Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any specific licensing opportunity that YOU lost due to the infringement alleged in the COMPLAINT.

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RESPONSE TO REQUEST NO. 18:

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Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the

terms "You" and "Your" as referring to Plaintiff David Henry Hwang. Plaintiff objects to this Request

as irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff

Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. III. Dec. 7, 2016) ("Since requests to

admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within

requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17,

1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use

of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for

compensate, let alone other LLM developers. Subject to and without waiving the foregoing objections,

Plaintiff responds that after a reasonable inquiry, the information known or that can be readily obtained

Plaintiff to know what his licensing opportunities would have been but for Meta's failure to

objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g.,

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SUPPLEMENTAL RESPONSE TO REQUEST NO. 18:

by him is insufficient to enable him to admit or deny.

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff David Henry Hwang. Plaintiff objects to this Request as irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff objects to this Request because it is hypothetical and is not tied to the facts of the case. *See, e.g.*, *Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission."); *Fulhorst v. Un. Techs. Auto., Inc.*, 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for Plaintiff to know what his licensing opportunities would have been but for Meta's failure to compensate, let alone other LLM developers. Subject to and without waiving the foregoing objections,

REQUEST FOR ADMISSION NO. 19:

Plaintiff responds, admit.

1	Dated: September 19, 2024	By: /s/Bryan L. Clobes
2		Bryan L. Clobes
3		Joseph R. Saveri (State Bar No. 130064)
		Cadio Zirpoli (State Bar No. 179108) Christopher K.L. Young (State Bar No. 318371)
4		Holden Benon (State Bar No. 325847)
5		Aaron Cera (State Bar No. 351163) Margaux Poueymirou (State Bar No. 356000)
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		acera@saverilawfirm.com mpoueymirou@saverilawfirm.com
11		Matthew Butterick (State Bar No. 250953)
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		Bryan L. Clobes (pro hac vice)
16		Alexander J. Sweatman (pro hac vice) Mohammed A. Rathur (pro hac vice)
17		CAFFERTY CLOBES MERIWETHER
18		& SPRENGEL LLP 135 South LaSalle Street, Suite 3210
		Chicago, IL 60603
19		Telephone: (312) 782-4880 Email: bclobes@caffertyclobes.com
20		asweatman@caffertyclobes.com mrathur@caffertyclobes.com
21		•
22		Daniel J. Muller (State Bar No. 193396) VENTURA HERSEY & MULLER, LLP 1506 Hamilton Avenue
23		San Jose, California 95125
		Telephone: (408) 512-3022
24		Facsimile: (408) 512-3023 Email: dmuller@venturahersey.com
25		Counsel for Individual and Representative Plaintiffs
26		and the Proposed Class
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Joseph R. Saveri (State Bar No. 130064) Matthew Butterick (State Bar No. 250953) 1 Cadio Zirpoli (State Bar No. 179108) 1920 Hillhurst Avenue, 406 Christopher K.L. Young (State Bar No. 318371) Los Angeles, CA 90027 2 Holden Benon (State Bar No. 325847) Telephone: (323) 968-2632 Aaron Cera (State Bar No. 351163) Facsimile: (415) 395-9940 3 Margaux Poueymirou (State Bar No. 356000) Email: mb@buttericklaw.com JOSEPH SAVERI LAW FIRM, LLP 4 601 California Street, Suite 1505 Bryan L. Clobes (pro hac vice) 5 San Francisco, California 94108 Alexander J. Sweatman (pro hac vice anticipated) Telephone: (415) 500-6800 CAFFERTY CLOBES MERIWETHER Facsimile: (415) 395-9940 & SPRENGEL LLP 6 Email: 135 South LaSalle Street, Suite 3210 jsaveri@saverilawfirm.com czirpoli@saverilawfirm.com 7 Chicago, IL 60603 cyoung@saverilawfirm.com Telephone: (312) 782-4880 hbenon@saverilawfirm.com Email: bclobes@caffertyclobes.com 8 acera@saverilawfirm.com asweatman@caffertyclobes.com 9 mpoueymirou@saverilawfirm.com 10 Counsel for Individual and Representative Plaintiffs and the Proposed Class 11 [Additional counsel on signature page] 12 13 UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA 14 SAN FRANCISCO DIVISION 15 16 Richard Kadrey, et al., Lead Case No. 3:23-cv-03417-VC Case No. 4:23-cv-06663 17 Individual and Representative Plaintiffs, PLAINTIFF RICHARD KADREY'S 18 AMENDED RESPONSES TO DEFENDANT META PLATFORMS, 19 INC.'S SECOND SET OF REQUESTS FOR Meta Platforms, Inc., **ADMISSION** 20 Defendant. 21 22 23 24 25 26 27

objections, Plaintiff responds, admit.

REQUEST FOR ADMISSION NO. 11:

Admit that YOU are unaware of consent ever having been given (either by YOU or somebody so authorized on YOUR behalf) to use of any of YOUR ASSERTED WORKS as training data for artificial intelligence.

AMENDED RESPONSE TO REQUEST NO. 11:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and /or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff objects to this Request as unintelligible and vague as to the term "artificial intelligence" and will construe that term to mean generative artificial intelligence. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-10 and 13-14. Subject to and without waiving the foregoing objections, Plaintiff responds, admit.

REQUEST FOR ADMISSION NO. 12:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models (see, e.g., [March 7, 2024 denial of RFA No. 1), YOU are unaware of any lost sales due to the infringement alleged in the COMPLAINT.

AMENDED RESPONSE TO REQUEST NO. 12:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and /or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff objects to the term "lost sales" as rendering this Request vague and ambiguous. Plaintiff further objects to this Request because it is hypothetical and not tied to the facts of the case. See, e.g., *Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be

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connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission.'"); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 15. Further, this Request seeks premature expert opinion. Plaintiffs are still investigating their damages theory. Subject to and without waiving the foregoing objections, Plaintiff responds, as of today, admit.

REQUEST FOR ADMISSION NO. 13:

Admit that YOU have no documentary evidence that any PERSON has offered any consideration to YOU for the use of YOUR ASSERTED WORKS to train large language models.

AMENDED RESPONSE TO REQUEST NO. 13:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff also objects to the term "documentary evidence" as being vague and overbroad because it is not limited to the specific claims and defenses raised in this dispute. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-11, and 14. Subject to and without waiving the foregoing objections, Plaintiff responds, admit.

REQUEST FOR ADMISSION NO. 14:

Admit that YOU have no documentary evidence that any PERSON has actually compensated YOU any consideration for use of YOUR ASSERTED WORKS to train large language models.

AMENDED RESPONSE TO REQUEST NO. 14:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff also 1 | 2 | 3 | 4 |

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objects to the term "documentary evidence" as being vague and overbroad because it is not limited to the specific claims and defenses raised in this dispute. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-11, and 13. Subject to and without waiving the foregoing objections, Plaintiff responds, admit.

REQUEST FOR ADMISSION NO. 15:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any documentary evidence that YOU have lost sales of any ASSERTED WORKS due to the infringement alleged in the COMPLAINT.

AMENDED RESPONSE TO REQUEST NO. 15:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff also objects to the term "documentary evidence" as being vague and overbroad because it is not limited to the specific claims and defenses raised in this dispute. Plaintiff further objects to the term "lost sales" as rendering this Request vague and ambiguous. Plaintiff also objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g., *Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission.'"); *Fulhorst v. Un. Techs. Auto., Inc.*, 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 12. Subject to and without waiving the foregoing objections, Plaintiff responds, admit.

REQUEST FOR ADMISSION NO. 16:

Admit that book sales for YOUR ASSERTED WORKS (including through any physical bookstore, on-line bookseller, or any other type of book wholesaler or retailer) have not decreased due

1	Dated: August 28, 2024	By: /s/ Joseph R. Saveri
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3		Joseph R. Saveri (State Bar No. 130064)
4		Cadio Zirpoli (State Bar No. 179108) Christopher K.L. Young (State Bar No. 318371)
		Holden Benon (State Bar No. 325847) Aaron Cera (State Bar No. 351163)
5		Margaux Poùeymirou (State Bar No. 356000) JOSEPH SAVERI LAW FIRM, LLP
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15		Eman: mo@outterickiaw.com
		Bryan L. Clobes (pro hac vice) Alexander J. Sweatman (pro hac vice anticipated)
16		CAFFERTY CLOBES MERIWETHER
17		& SPRENGEL LLP 135 South LaSalle Street, Suite 3210
18		Chicago, IL 60603 Telephone: (312) 782-4880
19		Email: bclobes@caffertyclobes.com
20		asweatman@caffertyclobes.com
21		Daniel J. Muller (State Bar No. 193396)
22		VENTURA HERSEY & MULLER, LLP 1506 Hamilton Avenue
23		San Jose, California 95125 Telephone: (408) 512-3022
24		Facsimile: (408) 512-3023 Email: dmuller@venturahersey.com
25		Counsel for Individual and Representative Plaintiffs
26		and the Proposed Class
27		

Bryan L. Clobes (pro hac vice) Joseph R. Saveri (State Bar No. 130064) 1 Cadio Zirpoli (State Bar No. 179108) Alexander J. Sweatman (pro hac vice) Christopher K.L. Young (State Bar No. 318371) 2 CAFFERTY CLOBES MERIWETHER Holden Benon (State Bar No. 325847) & SPRENGEL LLP Aaron Cera (State Bar No. 351163) 3 135 South LaSalle Street, Suite 3210 Margaux Poueymirou (State Bar No. 356000) Chicago, IL 60603 JOSEPH SAVERI LAW FIRM, LLP 4 Telephone: (312) 782-4880 601 California Street, Suite 1505 San Francisco, California 94108 5 Email: bclobes@caffertyclobes.com Telephone: (415) 500-6800 asweatman@caffertyclobes.com Facsimile: (415) 395-9940 6 Email: jsaveri@saverilawfirm.com David A. Straite (pro hac vice) czirpoli@saverilawfirm.com 7 DICELLO LEVITT LLP cyoung@saverilawfirm.com hbenon@saverilawfirm.com 4747 Executive Drive, 2nd Floor 8 acera@saverilawfirm.com San Diego, California 92121 9 mpoueymirou@saverilawfirm.com Telephone: (619) 923-3939 Email: dstraite@dicellolevitt.com 10 Matthew Butterick (State Bar No. 250953) 1920 Hillhurst Avenue, 406 11 Los Angeles, CA 90027 Telephone: (323) 968-2632 Facsimile: (415) 395-9940 12 Email: mb@buttericklaw.com 13 Counsel for Individual and Representative Plaintiffs and the Proposed Class 14 15 [Additional counsel on signature page] 16 UNITED STATES DISTRICT COURT 17 NORTHERN DISTRICT OF CALIFORNIA SAN FRANCISCO DIVISION 18 19 Richard Kadrey, et al., Lead Case No. 3:23-cv-03417-VC 20 Case No. 4:23-cv-06663 Individual and Representative Plaintiffs, 21 PLAINTIFF RICHARD KADREY'S AMENDED RESPONSES TO DEFENDANT META PLATFORMS, 22 INC.'S SECOND SET OF REQUESTS FOR Meta Platforms, Inc., 23 **ADMISSION** Defendant. 24 25 26 27

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1 PROPOUNDING PARTIES:

Defendant Meta Platforms, Inc.

RESPONDING PARTIES:

Plaintiff Richard Kadrey

SET NUMBER:

Two (2)

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Plaintiff Richard Kadrey ("Plaintiff") hereby amends his responses to Defendant Meta Platforms, Inc.'s ("Defendant" or "Meta") Second Set of Requests for Admissions (the "Requests" or "RFAs").

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GENERAL OBJECTIONS

- 1. Plaintiff generally objects to Defendant's definitions and instructions to the extent they purport to require Plaintiff to respond in any way beyond what is required by the Federal and local rules.
- 2. Plaintiff objects to the Requests to the extent they seek information or materials that are protected from disclosure by attorney-client privilege, the work product doctrine, expert disclosure rules, or other applicable privileges and protections, including communications with Plaintiff's attorneys regarding the Action.

Discovery in this matter is ongoing and Plaintiff reserves the right to amend, modify, or supplement these responses with subsequently discovered responsive information and to introduce and rely upon any such subsequently discovered information in this litigation.

AMENDED OBJECTIONS AND RESPONSES TO INDIVIDUAL REQUESTS REQUEST FOR ADMISSION NO. 18:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any specific licensing opportunity that YOU lost due to the infringement alleged in the COMPLAINT.

RESPONSE TO REQUEST NO. 18:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff Richard Kadrey. Plaintiff objects to this Request as

irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff 1 2 objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g., Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to 3 4 5 6 7 8 9 10

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admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for Plaintiff to know what his licensing opportunities would have been but for Meta's failure to compensate, let alone other LLM developers. Subject to and without waiving the foregoing objections, Plaintiff responds that after a reasonable inquiry, the information known or that can be readily obtained by him is insufficient to enable him to admit or deny.

AMENDED RESPONSE TO REQUEST NO. 18:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff Christopher Golden. Plaintiff objects to this Request as irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g., Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for Plaintiff to know what his licensing opportunities would have been but for Meta's failure to compensate, let alone other LLM developers. Subject to and without waiving the foregoing objections, Plaintiff responds, admit.

REQUEST FOR ADMISSION NO. 19:

Admit that, other than YOUR contention that LLM developers such as Meta should have

1	Dated: September 19, 2024	By: /s/ Joseph R. Saveri
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		Cadio Zirpoli (State Bar No. 179108) Christopher K.L. Young (State Bar No. 318371)
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16		Bryan L. Clobes (pro hac vice) Alexander J. Sweatman (pro hac vice anticipated)
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Joseph R. Saveri (State Bar No. 130064) Matthew Butterick (State Bar No. 250953) Cadio Zirpoli (State Bar No. 179108) 1920 Hillhurst Avenue, 406 2 Christopher K.L. Young (State Bar No. 318371) Los Angeles, CA 90027 Holden Benon (State Bar No. 325847) Telephone: (323) 968-2632 Facsimile: (415) 395-9940 3 Aaron Cera (State Bar No. 351163) Margaux Poueymirou (State Bar No. 356000) mb@buttericklaw.com Email: JOSEPH SAVERI LAW FIRM, LLP 4 601 California Street, Suite 1505 Bryan L. Clobes (pro hac vice) San Francisco, California 94108 Alexander J. Sweatman (pro hac vice) 5 Telephone: (415) 500-6800 Mohammed A. Rathur (pro hac vice anticipated) Facsimile: (415) 395-9940 **CAFFERTY CLOBES MERIWETHER** 6 isaveri@saverilawfirm.com & SPRENGEL LLP Email: czirpoli@saverilawfirm.com 7 135 South LaSalle Street, Suite 3210 Chicago, IL 60603 cyoung@saverilawfirm.com 8 hbenon@saverilawfirm.com Telephone: (312) 782-4880 acera@saverilawfirm.com bclobes@caffertyclobes.com Email: 9 mpoueymirou@saverilawfirm.com asweatman@caffertyclobes.com mrathur@caffertyclobes.com 10 Counsel for Individual and Representative Plaintiffs and the Proposed Class 11 [Additional counsel on signature page] 12 13 UNITED STATES DISTRICT COURT 14 NORTHERN DISTRICT OF CALIFORNIA SAN FRANCISCO DIVISION 15 16 Richard Kadrey, et al., Lead Case No. 3:23-cv-03417-VC Case No. 4:23-cv-06663 17 Individual and Representative Plaintiffs, 18 PLAINTIFF MATTHEW KLAM'S AMENDED RESPONSES TO DEFENDANT v. 19 META PLATFORMS, INC.'S SECOND SET OF REQUESTS FOR ADMISSION Meta Platforms, Inc., 20 Defendant. 21 22 23 24 25 26

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duplicative in whole or in part of RFAs 8-9, 11, and 13-14. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 11:

Admit that YOU are unaware of consent ever having been given (either by YOU or somebody so authorized on YOUR behalf) to use of any of YOUR ASSERTED WORKS as training data for artificial intelligence.

AMENDED RESPONSE TO REQUEST NO. 11:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and /or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff objects to this Request as unintelligible and vague as to the term "artificial intelligence" and will construe that term to mean generative artificial intelligence. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-10 and 13-14. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 12:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models (see, e.g., [March 7, 2024 denial of RFA No. 1), YOU are unaware of any lost sales due to the infringement alleged in the COMPLAINT.

AMENDED RESPONSE TO REQUEST NO. 12:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and /or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff objects to the term "lost sales" as vague and ambiguous. Plaintiff further objects to this Request because it is hypothetical and not tied to the facts of the case. See, e.g., *Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the

facts of the case, courts do not permit "hypothetical" questions within requests for admission.");

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REQUEST FOR ADMISSION NO. 13:

Admit that YOU have no documentary evidence that any PERSON has offered any consideration to YOU for the use of YOUR ASSERTED WORKS to train large language models.

AMENDED RESPONSE TO REQUEST NO. 13:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff also objects to the term "documentary evidence" as vague and overbroad because it is not limited to the specific claims and defenses raised in this dispute. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-11, and 14. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 14:

Admit that YOU have no documentary evidence that any PERSON has actually compensated YOU any consideration for use of YOUR ASSERTED WORKS to train large language models.

AMENDED RESPONSE TO REQUEST NO. 14:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff also objects to the term "documentary evidence" as vague and overbroad because it is not limited to the

specific claims and defenses raised in this dispute. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-11, and 13. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 15:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any documentary evidence that YOU have lost sales of any ASSERTED WORKS due to the infringement alleged in the COMPLAINT.

AMENDED RESPONSE TO REQUEST NO. 15:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and his agents. Plaintiff also objects to the term "documentary evidence" as being vague and overbroad because it is not limited to the specific claims and defenses raised in this case. Plaintiff further objects to the term "lost sales" as vague and ambiguous. Plaintiff also objects to this Request because it is hypothetical and not tied to the facts of the case. See, e.g., *Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission.""); *Fulhorst v. Un. Techs. Auto., Inc.*, 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 12. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 16:

Admit that book sales for YOUR ASSERTED WORKS (including through any physical bookstore, on-line bookseller, or any other type of book wholesaler or retailer) have not decreased due to the alleged use of YOUR ASSERTED WORKS to train large language models.

1	Dated: September 6, 2024	By: /s/ Bryan L. Clobes
2		Bryan L. Clobes
3		Joseph R. Saveri (State Bar No. 130064)
		Cadio Zirpoli (State Bar No. 179108) Christopher K.L. Young (State Bar No. 318371)
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15		Bryan L. Clobes (pro hac vice)
16		Alexander J. Sweatman (<i>pro hac vice</i>) Mohammed A. Rathur (<i>pro hac vice anticipated</i>)
17		CAFFERTY CLOBES MERIWETHER
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26		Counsel for Individual and Representative Plaintiffs
		and the Proposed Class
27		•

Joseph R. Saveri (State Bar No. 130064) Matthew Butterick (State Bar No. 250953) Cadio Zirpoli (State Bar No. 179108) 1920 Hillhurst Avenue, 406 2 Christopher K.L. Young (State Bar No. 318371) Los Angeles, CA 90027 Holden Benon (State Bar No. 325847) Telephone: (323) 968-2632 Facsimile: (415) 395-9940 3 Aaron Cera (State Bar No. 351163) Margaux Poueymirou (State Bar No. 356000) mb@buttericklaw.com Email: JOSEPH SAVERI LAW FIRM, LLP 4 601 California Street, Suite 1505 Bryan L. Clobes (pro hac vice) San Francisco, California 94108 Alexander J. Sweatman (pro hac vice) 5 Telephone: (415) 500-6800 Mohammed A. Rathur (pro hac vice) Facsimile: (415) 395-9940 **CAFFERTY CLOBES MERIWETHER** 6 jsaveri@saverilawfirm.com & SPRENGEL LLP Email: czirpoli@saverilawfirm.com 7 135 South LaSalle Street, Suite 3210 cyoung@saverilawfirm.com Chicago, IL 60603 8 hbenon@saverilawfirm.com Telephone: (312) 782-4880 acera@saverilawfirm.com bclobes@caffertyclobes.com Email: 9 mpoueymirou@saverilawfirm.com asweatman@caffertyclobes.com mrathur@caffertyclobes.com 10 Counsel for Individual and Representative Plaintiffs and the Proposed Class 11 [Additional counsel on signature page] 12 13 UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA 14 SAN FRANCISCO DIVISION 15 Richard Kadrey, et al., Lead Case No. 3:23-cv-03417-VC 16 Case No. 4:23-cv-06663 17 *Individual and Representative Plaintiffs*, PLAINTIFF MATTHEW KLAM'S 18 SUPPLEMENTAL RESPONSES TO v. **DEFENDANT META PLATFORMS, INC.'S** 19 SECOND SET OF REQUESTS FOR Meta Platforms, Inc., **ADMISSION** 20 Defendant. 21 22 23 24 25 26 27 28

1 ||

PROPOUNDING PARTIES:

Defendant Meta Platforms, Inc.

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RESPONDING PARTIES:

Plaintiff Matthew Klam

3

SET NUMBER:

Two (2)

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Plaintiff Matthew Klam ("Plaintiff") hereby amends his responses to Defendant Meta Platforms, Inc.'s ("Defendant" or "Meta") Second Set of Requests for Admissions (the "Requests" or "RFAs").

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GENERAL OBJECTIONS

- 1. Plaintiff generally objects to Defendant's definitions and instructions to the extent they purport to require Plaintiff to respond in any way beyond what is required by the Federal and local rules.
- 2. Plaintiff objects to the Requests to the extent they seek information or materials that are protected from disclosure by attorney-client privilege, the work product doctrine, expert disclosure rules, or other applicable privileges and protections, including communications with Plaintiff's attorneys regarding the Action.

Discovery in this matter is ongoing and Plaintiff reserves the right to amend, modify, or supplement these responses with subsequently discovered responsive information and to introduce and rely upon any such subsequently discovered information in this litigation.

SUPPLEMENTAL OBJECTIONS AND RESPONSES TO INDIVIDUAL REQUESTS REQUEST FOR ADMISSION NO. 18:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any specific licensing opportunity that YOU lost due to the infringement alleged in the COMPLAINT.

RESPONSE TO REQUEST NO. 18:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff Matthew Klam. Plaintiff objects to this Request as

irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff

Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. III. Dec. 7, 2016) ("Since requests to

admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within

requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17,

1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use

of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for

compensate, let alone other LLM developers. Subject to and without waiving the foregoing objections,

Plaintiff responds that after a reasonable inquiry, the information known or that can be readily obtained

Plaintiff to know what his licensing opportunities would have been but for Meta's failure to

objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g.,

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SUPPLEMENTAL RESPONSE TO REQUEST NO. 18:

by him is insufficient to enable him to admit or deny.

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff Matthew Klam. Plaintiff objects to this Request as irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff objects to this Request because it is hypothetical and is not tied to the facts of the case. *See, e.g.*, *Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission."); *Fulhorst v. Un. Techs. Auto., Inc.*, 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for Plaintiff to know what his licensing opportunities would have been but for Meta's failure to compensate, let alone other LLM developers. Subject to and without waiving the foregoing objections, Plaintiff responds, admit.

REQUEST FOR ADMISSION NO. 19:

Admit that, other than YOUR contention that LLM developers such as Meta should have

1	Dated: September 19, 2024	By: /s/ Bryan L. Clobes
2		Bryan L. Clobes
3		Joseph R. Saveri (State Bar No. 130064)
4		Cadio Zirpoli (State Bar No. 179108) Christopher K.L. Young (State Bar No. 318371)
		Holden Benon (State Bar No. 325847) Aaron Cera (State Bar No. 351163)
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	Lead Case No. 3:23-cv-03417-VC	5

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duplicative in whole or in part of RFAs 8-9, 11, and 13-14. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 11:

Admit that YOU are unaware of consent ever having been given (either by YOU or somebody so authorized on YOUR behalf) to use of any of YOUR ASSERTED WORKS as training data for artificial intelligence.

AMENDED RESPONSE TO REQUEST NO. 11:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and /or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and her agents. Plaintiff objects to this Request as unintelligible and vague as to the term "artificial intelligence" and will construe that term to mean generative artificial intelligence. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-10 and 13-14. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 12:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models (see, e.g., [March 7, 2024 denial of RFA No. 1), YOU are unaware of any lost sales due to the infringement alleged in the COMPLAINT.

AMENDED RESPONSE TO REQUEST NO. 12:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and /or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and her agents. Plaintiff objects to the term "lost sales" as vague and ambiguous. Plaintiff further objects to this Request because it is hypothetical and not tied to the facts of the case. See, e.g., *Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the

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facts of the case, courts do not permit "hypothetical" questions within requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 15. Further, this Request seeks premature expert opinion. Plaintiffs are still investigating their damages theory. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 13:

Admit that YOU have no documentary evidence that any PERSON has offered any consideration to YOU for the use of YOUR ASSERTED WORKS to train large language models.

AMENDED RESPONSE TO REQUEST NO. 13:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and her agents. Plaintiff also objects to the term "documentary evidence" as vague and overbroad because it is not limited to the specific claims and defenses raised in this dispute. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-11, and 14. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 14:

Admit that YOU have no documentary evidence that any PERSON has actually compensated YOU any consideration for use of YOUR ASSERTED WORKS to train large language models.

AMENDED RESPONSE TO REQUEST NO. 14:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and her agents. Plaintiff also objects to the term "documentary evidence" as vague and overbroad because it is not limited to the

specific claims and defenses raised in this dispute. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-11, and 13. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 15:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any documentary evidence that YOU have lost sales of any ASSERTED WORKS due to the infringement alleged in the COMPLAINT.

AMENDED RESPONSE TO REQUEST NO. 15:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and her agents. Plaintiff also objects to the term "documentary evidence" as being vague and overbroad because it is not limited to the specific claims and defenses raised in this case. Plaintiff further objects to the term "lost sales" as vague and ambiguous. Plaintiff also objects to this Request because it is hypothetical and not tied to the facts of the case. See, e.g., *Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission.""); *Fulhorst v. Un. Techs. Auto., Inc.*, 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 12.

Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 16:

Admit that book sales for YOUR ASSERTED WORKS (including through any physical bookstore, on-line bookseller, or any other type of book wholesaler or retailer) have not decreased due to the alleged use of YOUR ASSERTED WORKS to train large language models.

1	Dated: September 6, 2024	By: /s/ Bryan L. Clobes
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3		Joseph R. Saveri (State Bar No. 130064)
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15		Bryan L. Clobes (pro hac vice)
16		Alexander J. Sweatman (pro hac vice)
17		Mohammed A. Rathur (pro hac vice anticipated) CAFFERTY CLOBES MERIWETHER
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26		Counsel for Individual and Representative Plaintiffs
27		and the Proposed Class

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1 PRC

PROPOUNDING PARTIES:

Defendant Meta Platforms, Inc.

2

RESPONDING PARTIES:

Plaintiff Laura Lippman

3

SET NUMBER:

1.

Two (2)

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Plaintiff Laura Lippman ("Plaintiff") hereby amends her responses to Defendant Meta Platforms, Inc.'s ("Defendant" or "Meta") Second Set of Requests for Admissions (the "Requests" or "RFAs").

GENERAL OBJECTIONS

Plaintiff generally objects to Defendant's definitions and instructions to the extent they

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purport to require Plaintiff to respond in any way beyond what is required by the Federal and local rules.

2. Plaintiff objects to the Requests to the extent they seek information or materials that are protected from disclosure by attorney-client privilege, the work product doctrine, expert disclosure rules, or other applicable privileges and protections, including communications with Plaintiff's attorneys regarding the Action.

Discovery in this matter is ongoing and Plaintiff reserves the right to amend, modify, or supplement these responses with subsequently discovered responsive information and to introduce and rely upon any such subsequently discovered information in this litigation.

SUPPLEMENTAL OBJECTIONS AND RESPONSES TO INDIVIDUAL REQUESTS REQUEST FOR ADMISSION NO. 18:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any specific licensing opportunity that YOU lost due to the infringement alleged in the COMPLAINT.

RESPONSE TO REQUEST NO. 18:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the

terms "You" and "Your" as referring to Plaintiff Laura Lippman. Plaintiff objects to this Request as

irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff

Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. III. Dec. 7, 2016) ("Since requests to

admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within

requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17,

1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use

of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for

compensate, let alone other LLM developers. Subject to and without waiving the foregoing objections,

Plaintiff responds that after a reasonable inquiry, the information known or that can be readily obtained

Plaintiff to know what her licensing opportunities would have been but for Meta's failure to

objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g.,

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SUPPLEMENTAL RESPONSE TO REQUEST NO. 18:

by her is insufficient to enable her to admit or deny.

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff Laura Lippman. Plaintiff objects to this Request as irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g., Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for Plaintiff to know what her licensing opportunities would have been but for Meta's failure to compensate, let alone other LLM developers. Subject to and without waiving the foregoing objections,

REQUEST FOR ADMISSION NO. 19:

Plaintiff responds, admit.

1	Dated: September 19, 2024	By: /s/Bryan L. Clobes
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25		Counsel for Individual and Representative Plaintiffs
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objections, Plaintiff responds, admit.

REQUEST FOR ADMISSION NO. 11:

Admit that YOU are unaware of consent ever having been given (either by YOU or somebody so authorized on YOUR behalf) to use of any of YOUR ASSERTED WORKS as training data for artificial intelligence.

AMENDED RESPONSE TO REQUEST NO. 11:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and /or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and her agents. Plaintiff objects to this Request as unintelligible and vague as to the term "artificial intelligence" and will construe that term to mean generative artificial intelligence. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-10 and 13-14. Subject to and without waiving the foregoing objections, Plaintiff responds, admit.

REQUEST FOR ADMISSION NO. 12:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models (see, e.g., [March 7, 2024 denial of RFA No. 1), YOU are unaware of any lost sales due to the infringement alleged in the COMPLAINT.

AMENDED RESPONSE TO REQUEST NO. 12:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and /or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and her agents. Plaintiff objects to the term "lost sales" as rendering this Request vague and ambiguous. Plaintiff further objects to this Request because it is hypothetical and not tied to the facts of the case. See, e.g., *Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be

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connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission.'"); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 15. Further, this Request seeks premature expert opinion. Plaintiffs are still investigating their damages theory. Subject to and without waiving the foregoing objections, Plaintiff responds, as of today, admit.

REQUEST FOR ADMISSION NO. 13:

Admit that YOU have no documentary evidence that any PERSON has offered any consideration to YOU for the use of YOUR ASSERTED WORKS to train large language models.

AMENDED RESPONSE TO REQUEST NO. 13:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and her agents. Plaintiff also objects to the term "documentary evidence" as being vague and overbroad because it is not limited to the specific claims and defenses raised in this dispute. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-11, and 14. Subject to and without waiving the foregoing objections, Plaintiff responds, admit.

REQUEST FOR ADMISSION NO. 14:

Admit that YOU have no documentary evidence that any PERSON has actually compensated YOU any consideration for use of YOUR ASSERTED WORKS to train large language models.

AMENDED RESPONSE TO REQUEST NO. 14:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and her agents. Plaintiff also

objects to the term "documentary evidence" as being vague and overbroad because it is not limited to the specific claims and defenses raised in this dispute. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-11, and 13. Subject to and without waiving the foregoing objections, Plaintiff responds, admit.

REQUEST FOR ADMISSION NO. 15:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any documentary evidence that YOU have lost sales of any ASSERTED WORKS due to the infringement alleged in the COMPLAINT.

AMENDED RESPONSE TO REQUEST NO. 15:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and her agents. Plaintiff also objects to the term "documentary evidence" as being vague and overbroad because it is not limited to the specific claims and defenses raised in this dispute. Plaintiff further objects to the term "lost sales" as rendering this Request vague and ambiguous. Plaintiff also objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g., *Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission.'"); *Fulhorst v. Un. Techs. Auto., Inc.*, 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 12. Subject to and without waiving the foregoing objections, Plaintiff responds, admit.

REQUEST FOR ADMISSION NO. 16:

Admit that book sales for YOUR ASSERTED WORKS (including through any physical bookstore, on-line bookseller, or any other type of book wholesaler or retailer) have not decreased due

1	Dated: August 28, 2024	By: /s/ Joseph R. Saveri
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25		Counsel for Individual and Representative Plaintiffs
26		and the Proposed Class
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Lead Case No. 3:23-cv-03417-VC

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1 PROPOUNDING PARTIES:

Defendant Meta Platforms, Inc.

RESPONDING PARTIES:

Plaintiff Sarah Silverman

SET NUMBER:

Two (2)

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Plaintiff Sarah Silverman ("Plaintiff") hereby amends his responses to Defendant Meta Platforms, Inc.'s ("Defendant" or "Meta") Second Set of Requests for Admissions (the "Requests" or "RFAs").

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GENERAL OBJECTIONS

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1. Plaintiff generally objects to Defendant's definitions and instructions to the extent they purport to require Plaintiff to respond in any way beyond what is required by the Federal and local rules.

11 12 2. Plaintiff objects to the Requests to the extent they seek information or materials that are protected from disclosure by attorney-client privilege, the work product doctrine, expert disclosure rules, or other applicable privileges and protections, including communications with Plaintiff's attorneys regarding the Action.

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Discovery in this matter is ongoing and Plaintiff reserves the right to amend, modify, or supplement these responses with subsequently discovered responsive information and to introduce and rely upon any such subsequently discovered information in this litigation.

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AMENDED OBJECTIONS AND RESPONSES TO INDIVIDUAL REQUESTS REQUEST FOR ADMISSION NO. 18:

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Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any specific licensing opportunity that YOU lost due to the infringement alleged in the COMPLAINT.

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RESPONSE TO REQUEST NO. 18:

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Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff Sarah Silverman. Plaintiff objects to this Request as

irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff objects to this Request because it is hypothetical and is not tied to the facts of the case. *See, e.g.*, *Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission.'"); *Fulhorst v. Un. Techs. Auto., Inc.*, 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for Plaintiff to know what her licensing opportunities would have been but for Meta's failure to compensate Plaintiff, let alone other LLM developers. Subject to and without waiving the foregoing objections, Plaintiff responds that after a reasonable inquiry, the information known or that can be readily obtained by her is insufficient to enable her to admit or deny.

AMENDED RESPONSE TO REQUEST NO. 18:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff Christopher Golden. Plaintiff objects to this Request as irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g., Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission.'"); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for Plaintiff to know what his licensing opportunities would have been but for Meta's failure to compensate, let alone other LLM developers. Subject to and without waiving the foregoing objections, Plaintiff responds, admit.

REQUEST FOR ADMISSION NO. 19:

Admit that, other than YOUR contention that LLM developers such as Meta should have

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Lead Case No. 3:23-cv-03417-VC

duplicative in whole or in part of RFAs 8-9, 11, and 13-14. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 11:

Admit that YOU are unaware of consent ever having been given (either by YOU or somebody so authorized on YOUR behalf) to use of any of YOUR ASSERTED WORKS as training data for artificial intelligence.

AMENDED RESPONSE TO REQUEST NO. 11:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and /or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and her agents. Plaintiff objects to this Request as unintelligible and vague as to the term "artificial intelligence" and will construe that term to mean generative artificial intelligence. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-10 and 13-14. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 12:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models (see, e.g., [March 7, 2024 denial of RFA No. 1), YOU are unaware of any lost sales due to the infringement alleged in the COMPLAINT.

AMENDED RESPONSE TO REQUEST NO. 12:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and /or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and her agents. Plaintiff objects to the term "lost sales" as vague and ambiguous. Plaintiff further objects to this Request because it is hypothetical and not tied to the facts of the case. See, e.g., *Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the

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facts of the case, courts do not permit "hypothetical" questions within requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 15. Further, this Request seeks premature expert opinion. Plaintiffs are still investigating their damages theory. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 13:

Admit that YOU have no documentary evidence that any PERSON has offered any consideration to YOU for the use of YOUR ASSERTED WORKS to train large language models.

AMENDED RESPONSE TO REQUEST NO. 13:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and her agents. Plaintiff also objects to the term "documentary evidence" as vague and overbroad because it is not limited to the specific claims and defenses raised in this dispute. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-11, and 14. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 14:

Admit that YOU have no documentary evidence that any PERSON has actually compensated YOU any consideration for use of YOUR ASSERTED WORKS to train large language models.

AMENDED RESPONSE TO REQUEST NO. 14:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and her agents. Plaintiff also objects to the term "documentary evidence" as vague and overbroad because it is not limited to the

specific claims and defenses raised in this dispute. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-11, and 13. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 15:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any documentary evidence that YOU have lost sales of any ASSERTED WORKS due to the infringement alleged in the COMPLAINT.

AMENDED RESPONSE TO REQUEST NO. 15:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and her agents. Plaintiff also objects to the term "documentary evidence" as being vague and overbroad because it is not limited to the specific claims and defenses raised in this case. Plaintiff further objects to the term "lost sales" as vague and ambiguous. Plaintiff also objects to this Request because it is hypothetical and not tied to the facts of the case. See, e.g., *Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission.""); *Fulhorst v. Un. Techs. Auto., Inc.*, 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 12.

Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 16:

Admit that book sales for YOUR ASSERTED WORKS (including through any physical bookstore, on-line bookseller, or any other type of book wholesaler or retailer) have not decreased due to the alleged use of YOUR ASSERTED WORKS to train large language models.

1	Dated: September 6, 2024	By: /s/ Bryan L. Clobes
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10		Mohammed A. Rathur (pro hac vice anticipated)
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20		mrathur@caffertyclobes.com
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26		Counsel for Individual and Representative Plaintiffs
27		and the Proposed Class

Joseph R. Saveri (State Bar No. 130064) Matthew Butterick (State Bar No. 250953) Cadio Zirpoli (State Bar No. 179108) 1920 Hillhurst Avenue, 406 2 Christopher K.L. Young (State Bar No. 318371) Los Angeles, CA 90027 Holden Benon (State Bar No. 325847) Telephone: (323) 968-2632 Facsimile: (415) 395-9940 3 Aaron Cera (State Bar No. 351163) Margaux Poueymirou (State Bar No. 356000) mb@buttericklaw.com Email: JOSEPH SAVERI LAW FIRM, LLP 4 601 California Street, Suite 1505 Bryan L. Clobes (pro hac vice) San Francisco, California 94108 Alexander J. Sweatman (pro hac vice) 5 Telephone: (415) 500-6800 Mohammed A. Rathur (pro hac vice) Facsimile: (415) 395-9940 **CAFFERTY CLOBES MERIWETHER** 6 jsaveri@saverilawfirm.com & SPRENGEL LLP Email: czirpoli@saverilawfirm.com 7 135 South LaSalle Street, Suite 3210 cyoung@saverilawfirm.com Chicago, IL 60603 8 hbenon@saverilawfirm.com Telephone: (312) 782-4880 acera@saverilawfirm.com bclobes@caffertyclobes.com Email: 9 mpoueymirou@saverilawfirm.com asweatman@caffertyclobes.com mrathur@caffertyclobes.com 10 Counsel for Individual and Representative Plaintiffs and the Proposed Class 11 [Additional counsel on signature page] 12 13 UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA 14 SAN FRANCISCO DIVISION 15 Richard Kadrey, et al., Lead Case No. 3:23-cv-03417-VC 16 Case No. 4:23-cv-06663 17 *Individual and Representative Plaintiffs*, PLAINTIFF RACHEL LOUISE SNYDER'S 18 SUPPLEMENTAL RESPONSES TO v. **DEFENDANT META PLATFORMS, INC.'S** 19 SECOND SET OF REQUESTS FOR Meta Platforms, Inc., **ADMISSION** 20 Defendant. 21 22 23 24 25 26 27

Lead Case No. 3:23-cv-03417-VC

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PROPOUNDING PARTIES:

Defendant Meta Platforms, Inc.

RESPONDING PARTIES:

Plaintiff Rachel Louise Snyder

SET NUMBER:

Two (2)

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Plaintiff Rachel Louise Snyder ("Plaintiff") hereby amends her responses to Defendant Meta Platforms, Inc.'s ("Defendant" or "Meta") Second Set of Requests for Admissions (the "Requests" or "RFAs").

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GENERAL OBJECTIONS

- 1. Plaintiff generally objects to Defendant's definitions and instructions to the extent they purport to require Plaintiff to respond in any way beyond what is required by the Federal and local rules.
- 2. Plaintiff objects to the Requests to the extent they seek information or materials that are protected from disclosure by attorney-client privilege, the work product doctrine, expert disclosure rules, or other applicable privileges and protections, including communications with Plaintiff's attorneys regarding the Action.

Discovery in this matter is ongoing and Plaintiff reserves the right to amend, modify, or supplement these responses with subsequently discovered responsive information and to introduce and rely upon any such subsequently discovered information in this litigation.

SUPPLEMENTAL OBJECTIONS AND RESPONSES TO INDIVIDUAL REQUESTS REQUEST FOR ADMISSION NO. 18:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any specific licensing opportunity that YOU lost due to the infringement alleged in the COMPLAINT.

RESPONSE TO REQUEST NO. 18:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the

terms "You" and "Your" as referring to Plaintiff Rachel Louise Snyder. Plaintiff objects to this Request

as irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff

Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. III. Dec. 7, 2016) ("Since requests to

admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within

requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17,

1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use

of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for

compensate, let alone other LLM developers. Subject to and without waiving the foregoing objections,

Plaintiff responds that after a reasonable inquiry, the information known or that can be readily obtained

Plaintiff to know what her licensing opportunities would have been but for Meta's failure to

objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g.,

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SUPPLEMENTAL RESPONSE TO REQUEST NO. 18:

by her is insufficient to enable her to admit or deny.

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff Rachel Louise Snyder. Plaintiff objects to this Request as irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g., Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for Plaintiff to know what her licensing opportunities would have been but for Meta's failure to compensate, let alone other LLM developers. Subject to and without waiving the foregoing objections,

REQUEST FOR ADMISSION NO. 19:

Plaintiff responds, admit.

1	Dated: September 19, 2024	By: /s/Bryan L. Clobes
2		Bryan L. Clobes
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6	Class, Additional Counsel Listed Below	
7	UNITED STATES D	
8	NORTHERN DISTRIC	Γ OF CALIFORNIA
9	RICHARD KADREY, SARAH SILVERMAN, CHRISTOPHER GOLDEN, TA-NEHISI	Case No. 3:23-cv-03417-VC
10	COATES, JUNOT DÍAZ, ANDREW SEAN	PLAINTIFF LYSA TERKEURST'S
11	GREER, DAVID HENRY HWANG, MATTHEW KLAM, LAURA LIPPMAN,	SUPPLEMENTAL RESPONSES AND OBJECTIONS TO DEFENDANT META
12	RACHEL LOUISE SNYDER, JACQUELINE WOODSON, AND LYSA TERKEURST,	PLATFORMS, INC.'S SECOND SET OF REQUESTS FOR ADMISSION
13	Individual and Representative Plaintiffs,	REQUESTS FOR ADMISSION
14	V.	
15	META PLATFORMS, INC.;	
16	Defendant.	
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18	Plaintiff Lysa TerKeurst ("Plaintiff") hereb	y amends her responses to Defendant Meta
19	Platforms, Inc.'s ("Defendant" or "Meta") Second	Set of Requests for Admissions (the
20	"Requests" or "RFAs").	
21	GENERAL OBJECTIONS	
22	Plaintiff generally objects to Defendent	dant's definitions and instructions to the extent
23	they purport to require Plaintiff to respond in any way beyond what is required by the Federal an	
24	local rules.	
25	2. Plaintiff objects to the Requests to	the extent they seek information or materials
26	that are protected from disclosure by attorney-clie	nt privilege, the work product doctrine, expert
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disclosure rules, or other applicable privileges and protections, including communications with Plaintiff's attorneys regarding the Action.

Discovery in this matter is ongoing and Plaintiff reserves the right to amend, modify, or supplement these responses with subsequently discovered responsive information and to introduce and rely upon any such subsequently discovered information in this litigation.

SUPPLEMENTAL RESPONSES AND OBJECTIONS TO REQUESTS FOR ADMISSION

REQUEST FOR ADMISSION NO. 12:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models (see, e.g., March 7, 2024 denial of RFA No. 1), YOU are unaware of any lost sales due to the infringement alleged in the COMPLAINT.

RESPONSE TO REQUEST NO. 12:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. For the purposes of this Request, Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff Lysa TerKeurst. Plaintiff objects to the term "lost sales" as rendering this Request vague and ambiguous. Plaintiff further objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g., Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission.""); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 15. Subject to and without waiving the foregoing objections, Plaintiff

admits that she is currently unaware of any lost book sales through retailers caused by the infringement alleged in the Complaint and denies that her lack of awareness has any bearing on whether there have been any such lost sales. Plaintiff otherwise denies Request No. 12.

SUPPLEMENTAL RESPONSE TO REQUEST NO. 12

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. For the purposes of this Request, Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff Lysa TerKeurst and her agent, Meredith Brock. Plaintiff objects to the term "lost sales" as rendering this Request vague and ambiguous. Plaintiff further objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g., Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission.""); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 15. Subject to and without waiving the foregoing objections, Plaintiff admits that she is currently unaware of any lost book sales through retailers caused by the infringement alleged in the Complaint and denies that her lack of awareness has any bearing on whether there have been any such lost sales. Plaintiff otherwise denies Request No. 12.

REQUEST FOR ADMISSION NO. 13:

Admit that YOU have no documentary evidence that any PERSON has offered any consideration to YOU for the use of YOUR ASSERTED WORKS to train large language models.

RESPONSE TO REQUEST NO. 13:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling

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Admit that, other than YOUR contention that LLM developers such as Meta should have

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REQUEST FOR ADMISSION NO. 15:

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compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any documentary evidence that YOU have lost sales of any ASSERTED WORKS due to the infringement alleged in the COMPLAINT.

RESPONSE TO REQUEST NO. 15:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff Lysa TerKeurst. Plaintiff also objects to the term "documentary evidence" as being vague and overbroad because it is not limited to the specific claims and defenses raised in this dispute. Plaintiff further objects to the term "lost sales" as rendering this Request vague and ambiguous. Plaintiff also objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g., Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 12. Subject to and without waiving the foregoing objections, Plaintiff admits that she is currently unaware of any documentary evidence of lost book sales through retailers due to the infringement alleged in the Complaint and denies that her lack of awareness has any bearing on whether any such lost sales have occurred or whether documentary evidence of the same exists. Plaintiff otherwise denies Request No. 15.

SUPPLEMENTAL RESPONSE TO REQUEST NO. 15

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Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. For the purposes of this Request, Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff Lysa TerKeurst and her agent, Meredith Brock. Plaintiff also objects to the term "documentary evidence" as being vague and overbroad because it is not limited to the specific claims and defenses raised in this dispute. Plaintiff further objects to the term "lost sales" as rendering this Request vague and ambiguous. Plaintiff also objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g., Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 12. Subject to and without waiving the foregoing objections, Plaintiff admits that she is currently unaware of any documentary evidence of lost book sales through retailers due to the infringement alleged in the Complaint and denies that her lack of awareness has any bearing on whether any such lost sales have occurred or whether documentary evidence of the same exists. Plaintiff otherwise denies Request No. 15.

REQUEST FOR ADMISSION NO. 16:

Admit that book sales for YOUR ASSERTED WORKS (including through any physical bookstore, on-line bookseller, or any other type of book wholesaler or retailer) have not decreased due to the alleged use of YOUR ASSERTED WORKS to train large language models.

RESPONSE TO REQUEST NO. 16:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined,

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intelligence large language model, for a fee, under certain circumstances. Plaintiff otherwise denies Request No. 33.

SUPPLEMENTAL RESPONSE TO REQUEST NO. 33:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff Lysa TerKeurst and her agent, Meredith Brock. Plaintiff further objects to the phrase "for a fee" as vague and ambiguous. Plaintiff further objects that Request No. 33 poses an incomplete hypothetical, making a single definitive answer impossible. Subject to and without waiving the foregoing objections, Plaintiff admits only that she may be willing to consider permitting a third party to use her asserted works for the purpose of training an artificial intelligence large language model, for a fee, under certain circumstances. Plaintiff otherwise denies Request No. 33.

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Dated: September 12, 2024	By: /s/ James A. Ulwick Amy Keller (admitted pro hac vice) Nada Djordjevic (pro hac vice forthcoming) James A. Ulwick (admitted pro hac vice) 10 North Dearborn St., Sixth Floor Chicago, Illinois 60602 Tel.: (312) 214-7900 Email: akeller@dicellolevitt.com ndjordjevic@dicellolevitt.com julwick@dicellolevitt.com
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	Brian O'Mara 4747 Executive Drive, Suite 240 San Diego, California 92121 Tel.: (619) 923-3939 Email: bomara@dicellolevitt.com

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5	dstraite@dicellolevitt.com			
6	Counsel for Plaintiffs and the Proposed Class, Additional Counsel Listed Below			
7	UNITED STATES DISTRICT COURT			
8	NORTHERN DISTRICT OF CALIFORNIA			
9	RICHARD KADREY, SARAH SILVERMAN,	Case No. 3:23-cv-03417-VC		
10	CHRISTOPHER GOLDEN, TA-NEHISI COATES, JUNOT DÍAZ, ANDREW SEAN	PLAINTIFF LYSA TERKEURST'S		
11	GREER, DAVID HENRY HWANG,	SUPPLEMENTAL RESPONSES AND		
12	MATTHEW KLAM, LAURA LIPPMAN, RACHEL LOUISE SNYDER, JACQUELINE	OBJECTIONS TO DEFENDANT META PLATFORMS, INC.'S SECOND SET OF		
13	WOODSON, AND LYSA TERKEURST, REQUESTS FOR ADMISSION			
14	Individual and Representative Plaintiffs,			
15	V.			
16	META PLATFORMS, INC.;			
17				
18	Plaintiff Lysa TerKeurst ("Plaintiff") hereby amends her responses to Defendant Meta			
19	Platforms, Inc.'s ("Defendant" or "Meta") Second Set of Requests for Admissions (the			
20	"Requests" or "RFAs").			
21	GENERAL OBJECTIONS			
22	Plaintiff generally objects to Defendant's definitions and instructions to the exter			
23	they purport to require Plaintiff to respond in any way beyond what is required by the Federal and			
24	local rules.			
25	2. Plaintiff objects to the Requests to the extent they seek information or material			
26	that are protected from disclosure by attorney-client privilege, the work product doctrine, exper			
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device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Subject to and without waiving the foregoing objections, Plaintiff admits that she is currently unaware of a decrease in sales of her book caused by the infringement alleged in the COMPLAINT but denies that her lack of awareness has any bearing on whether such a decrease in sales has occurred or whether documentary evidence of the same exists. Plaintiff otherwise denies Request No. 16.

REQUEST FOR ADMISSION NO. 18:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any specific licensing opportunity that YOU lost due to the infringement alleged in the COMPLAINT.

RESPONSE TO REQUEST NO. 18:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff Lysa TerKeurst. Plaintiff objects to this Request as irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g., Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission.""); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for Plaintiff to know what her licensing opportunities would have been but for Meta's failure to compensate Plaintiff, let alone other LLM developers. Subject to and without waiving the foregoing objections, Plaintiff admits that Plaintiff is currently unaware of any specific licensing opportunity that she has lost due to the infringement

alleged in the COMPLAINT, but denies that her lack of awareness has any bearing on whether any such licensing opportunities were lost. Plaintiff otherwise denies Request No. 18.

SUPPLEMENTAL RESPONSE TO REQUEST NO. 18

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff Lysa TerKeurst and her agent, Meredith Brock. Plaintiff objects to this Request as irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff objects to this Request because it is hypothetical and is not tied to the facts of the case. *See, e.g., Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission."); *Fulhorst v. Un. Techs. Auto., Inc.*, 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for Plaintiff to know what her licensing opportunities would have been but for Meta's failure to compensate, let alone other LLM developers. Subject to and without waiving the foregoing objections, Plaintiff responds, admit.

REQUEST FOR ADMISSION NO. 19:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any documentary evidence that YOU lost a specific licensing opportunity due to the infringement alleged in the COMPLAINT.

RESPONSE TO REQUEST NO. 19:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined,

intelligence large language model, for a fee, under certain circumstances. Plaintiff otherwise denies Request No. 33.

SUPPLEMENTAL RESPONSE TO REQUEST NO. 33:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff Lysa TerKeurst and her agent, Meredith Brock. Plaintiff further objects to the phrase "for a fee" as vague and ambiguous. Plaintiff further objects that Request No. 33 poses an incomplete hypothetical, making a single definitive answer impossible. Subject to and without waiving the foregoing objections, Plaintiff admits only that she may be willing to consider permitting a third party to use her asserted works for the purpose of training an artificial intelligence large language model, for a fee, under certain circumstances. Plaintiff otherwise denies Request No. 33.

Dated: September 12, 2024	By: /s/ James A. Ulwick Amy Keller (admitted pro hac vice) Nada Djordjevic (pro hac vice forthcoming) James A. Ulwick (admitted pro hac vice) 10 North Dearborn St., Sixth Floor Chicago, Illinois 60602 Tel.: (312) 214-7900 Email: akeller@dicellolevitt.com ndjordjevic@dicellolevitt.com
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Joseph R. Saveri (State Bar No. 130064) Matthew Butterick (State Bar No. 250953) Cadio Zirpoli (State Bar No. 179108) 1920 Hillhurst Avenue, 406 2 Christopher K.L. Young (State Bar No. 318371) Los Angeles, CA 90027 Holden Benon (State Bar No. 325847) Telephone: (323) 968-2632 Facsimile: (415) 395-9940 3 Aaron Cera (State Bar No. 351163) Margaux Poueymirou (State Bar No. 356000) mb@buttericklaw.com Email: JOSEPH SAVERI LAW FIRM, LLP 4 601 California Street, Suite 1505 Bryan L. Clobes (pro hac vice) San Francisco, California 94108 Alexander J. Sweatman (pro hac vice) 5 Telephone: (415) 500-6800 Mohammed A. Rathur (pro hac vice anticipated) Facsimile: (415) 395-9940 **CAFFERTY CLOBES MERIWETHER** 6 isaveri@saverilawfirm.com & SPRENGEL LLP Email: czirpoli@saverilawfirm.com 7 135 South LaSalle Street, Suite 3210 Chicago, IL 60603 cyoung@saverilawfirm.com 8 hbenon@saverilawfirm.com Telephone: (312) 782-4880 acera@saverilawfirm.com bclobes@caffertyclobes.com Email: 9 mpoueymirou@saverilawfirm.com asweatman@caffertyclobes.com mrathur@caffertyclobes.com 10 Counsel for Individual and Representative Plaintiffs and the Proposed Class 11 [Additional counsel on signature page] 12 13 UNITED STATES DISTRICT COURT 14 NORTHERN DISTRICT OF CALIFORNIA SAN FRANCISCO DIVISION 15 16 Richard Kadrey, et al., Lead Case No. 3:23-cv-03417-VC Case No. 4:23-cv-06663 17 Individual and Representative Plaintiffs, 18 PLAINTIFF JACQUELINE WOODSON'S AMENDED RESPONSES TO DEFENDANT v. 19 META PLATFORMS, INC.'S SECOND SET OF REQUESTS FOR ADMISSION Meta Platforms, Inc., 20 Defendant. 21 22 23 24 25 26

duplicative in whole or in part of RFAs 8-9, 11, and 13-14. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 11:

Admit that YOU are unaware of consent ever having been given (either by YOU or somebody so authorized on YOUR behalf) to use of any of YOUR ASSERTED WORKS as training data for artificial intelligence.

AMENDED RESPONSE TO REQUEST NO. 11:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and /or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and her agents. Plaintiff objects to this Request as unintelligible and vague as to the term "artificial intelligence" and will construe that term to mean generative artificial intelligence. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-10 and 13-14. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 12:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models (see, e.g., [March 7, 2024 denial of RFA No. 1), YOU are unaware of any lost sales due to the infringement alleged in the COMPLAINT.

AMENDED RESPONSE TO REQUEST NO. 12:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and /or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and her agents. Plaintiff objects to the term "lost sales" as vague and ambiguous. Plaintiff further objects to this Request because it is hypothetical and not tied to the facts of the case. See, e.g., *Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the

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facts of the case, courts do not permit "hypothetical" questions within requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 15. Further, this Request seeks premature expert opinion. Plaintiffs are still investigating their damages theory. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 13:

Admit that YOU have no documentary evidence that any PERSON has offered any consideration to YOU for the use of YOUR ASSERTED WORKS to train large language models.

AMENDED RESPONSE TO REQUEST NO. 13:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and her agents. Plaintiff also objects to the term "documentary evidence" as vague and overbroad because it is not limited to the specific claims and defenses raised in this dispute. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-11, and 14. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 14:

Admit that YOU have no documentary evidence that any PERSON has actually compensated YOU any consideration for use of YOUR ASSERTED WORKS to train large language models.

AMENDED RESPONSE TO REQUEST NO. 14:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and her agents. Plaintiff also objects to the term "documentary evidence" as vague and overbroad because it is not limited to the

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specific claims and defenses raised in this dispute. Plaintiff further objects to this Request as duplicative in whole or in part of RFAs 8-11, and 13. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 15:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any documentary evidence that YOU have lost sales of any ASSERTED WORKS due to the infringement alleged in the COMPLAINT.

AMENDED RESPONSE TO REQUEST NO. 15:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. As narrowed by the parties, Plaintiff will construe "You" and "Your" to include Plaintiff individually, and her agents. Plaintiff also objects to the term "documentary evidence" as being vague and overbroad because it is not limited to the specific claims and defenses raised in this case. Plaintiff further objects to the term "lost sales" as vague and ambiguous. Plaintiff also objects to this Request because it is hypothetical and not tied to the facts of the case. See, e.g., *Buchanan v. Chi. Transit Auth.*, 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission.""); *Fulhorst v. Un. Techs. Auto., Inc.*, 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. Plaintiff further objects to this Request as duplicative in whole or in part of RFA 12. Subject to and without waiving the foregoing objections, Plaintiff responds as follows: admit.

REQUEST FOR ADMISSION NO. 16:

Admit that book sales for YOUR ASSERTED WORKS (including through any physical bookstore, on-line bookseller, or any other type of book wholesaler or retailer) have not decreased due to the alleged use of YOUR ASSERTED WORKS to train large language models.

1	Dated: September 6, 2024	By: /s/ Bryan L. Clobes
2		Bryan L. Clobes
3		Joseph R. Saveri (State Bar No. 130064)
		Cadio Zirpoli (State Bar No. 179108) Christopher K.L. Young (State Bar No. 318371)
4		Holden Benon (State Bar No. 325847)
5		Aaron Cera (State Bar No. 351163) Margaux Poueymirou (State Bar No. 356000)
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		Bryan L. Clobes (pro hac vice)
16		Alexander J. Sweatman (<i>pro hac vice</i>) Mohammed A. Rathur (<i>pro hac vice anticipated</i>)
17		CAFFERTY CLOBES MERIWETHER & SPRENGEL LLP
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19		Chicago, IL 60603 Telephone: (312) 782-4880
		Email: bclobes@caffertyclobes.com
20		asweatman@caffertyclobes.com mrathur@caffertyclobes.com
21		
22		Daniel J. Muller (State Bar No. 193396)
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PROPOUNDING PARTIES:

Defendant Meta Platforms, Inc.

2 RESPONDING PARTIES:

Plaintiff Jacqueline Woodson

SET NUMBER:

Two (2)

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Plaintiff Jacqueline Woodson ("Plaintiff") hereby amends her responses to Defendant Meta Platforms, Inc.'s ("Defendant" or "Meta") Second Set of Requests for Admissions (the "Requests" or "RFAs").

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GENERAL OBJECTIONS

- 1. Plaintiff generally objects to Defendant's definitions and instructions to the extent they purport to require Plaintiff to respond in any way beyond what is required by the Federal and local rules.
- 2. Plaintiff objects to the Requests to the extent they seek information or materials that are protected from disclosure by attorney-client privilege, the work product doctrine, expert disclosure rules, or other applicable privileges and protections, including communications with Plaintiff's attorneys regarding the Action.

Discovery in this matter is ongoing and Plaintiff reserves the right to amend, modify, or supplement these responses with subsequently discovered responsive information and to introduce and rely upon any such subsequently discovered information in this litigation.

SUPPLEMENTAL OBJECTIONS AND RESPONSES TO INDIVIDUAL REQUESTS REQUEST FOR ADMISSION NO. 18:

Admit that, other than YOUR contention that LLM developers such as Meta should have compensated YOU to allegedly use YOUR ASSERTED WORKS to train large language models, YOU are unaware of any specific licensing opportunity that YOU lost due to the infringement alleged in the COMPLAINT.

RESPONSE TO REQUEST NO. 18:

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the

Lead Case No. 3:23-cv-03417-VC

terms "You" and "Your" as referring to Plaintiff Jacqueline Woodson. Plaintiff objects to this Request

as irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff

Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. III. Dec. 7, 2016) ("Since requests to

admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within

requests for admission."); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17,

1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use

of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for

compensate, let alone other LLM developers. Subject to and without waiving the foregoing objections,

Plaintiff responds that after a reasonable inquiry, the information known or that can be readily obtained

Plaintiff to know what her licensing opportunities would have been but for Meta's failure to

objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g.,

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SUPPLEMENTAL RESPONSE TO REQUEST NO. 18:

by her is insufficient to enable her to admit or deny.

Plaintiff objects to the defined terms "You" and "Your" as vague and overbroad and calling for discovery that is irrelevant and/or disproportional to the needs of the case because, as defined, it includes any person asked, hired, retained, or contracted to assist Plaintiff. Plaintiff will construe the terms "You" and "Your" as referring to Plaintiff Jacqueline Woodson. Plaintiff objects to this Request as irrelevant to any claim or defense and disproportional to the status and needs of this case. Plaintiff objects to this Request because it is hypothetical and is not tied to the facts of the case. See, e.g., Buchanan v. Chi. Transit Auth., 2016 WL 7116591, at *5 (N.D. Ill. Dec. 7, 2016) ("Since requests to admit 'must be connected to the facts of the case, courts do not permit "hypothetical" questions within requests for admission.'"); Fulhorst v. Un. Techs. Auto., Inc., 1997 WL 873548, at *3 (D. Del. Nov. 17, 1997) (denying request "asking Plaintiff to admit to infringement in the context of the hypothetical use of its device"); Fed. R. Civ. P. 36 advisory committee's note to 1946 amendment. There is no way for Plaintiff to know what her licensing opportunities would have been but for Meta's failure to compensate, let alone other LLM developers. Subject to and without waiving the foregoing objections,

REQUEST FOR ADMISSION NO. 19:

Plaintiff responds, admit.

1	Dated: September 19, 2024	By: /s/Bryan L. Clobes
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